

1 **Influence of solar water disinfection on immunity against cholera**

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20 **Abstract**

21 Cholera remains a significant problem in developing countries. This is attributed to the  
22 unavailability of proper water treatment and sanitary infrastructure. As a consequence,  
23 countries facing a cholera outbreak rely on interventions such as the use of oral rehydration  
24 therapy, antibiotics, vaccination and the provision of chlorine tablets to save lives and  
25 prevent new cholera infections. These interventions have been accepted but their  
26 implementation remains a challenge due to constraints associated with the cost, ease of use  
27 and technical knowhow. These challenges have been significantly reduced through the use  
28 of solar water disinfection. The success of solar water disinfection in mitigating the risk  
29 associated with the consumption of waterborne pathogens has mainly been associated with  
30 solar irradiation. This has prompted a lot of focus on the solar component for enhanced  
31 disinfection. However the role played by the host immune system following the  
32 consumption of solar irradiated water pathogens has not received any significant attention.  
33 The mode of inactivation resulting from the exposure of microbiologically contaminated  
34 water results in immunologically important microbial states as well as components. In this  
35 review, the possible influence that solar water disinfection may have on the immunity  
36 against cholera is discussed.

37 Keywords: Cholera, SODIS, Solar Ultraviolet Radiation, Vaccine, *V. cholerae*, Waterborne  
38 disease

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## 41 **Introduction**

42 Cholera is a life threatening waterborne disease characterised by secretory diarrhoea often  
43 accompanied by vomiting (Osei & Duker 2008). It is estimated that there are 5 million cases  
44 of cholera resulting in approximately 130,000 fatalities per year globally (WHO 2010).  
45 Cholera is spread through faecal contamination of water and food and is generally prevalent  
46 in resource poor communities due to the lack of basic sanitary infrastructure and limited or  
47 no access to potable water. Various measures such as the provision of basic sanitary  
48 infrastructure and treated piped water, construction of village hospitals and immunisation  
49 have been proposed to prevent cholera outbreaks and epidemics. However, their  
50 implementation remains a global challenge (Echeverria, *et al.* 1983, WHO 2011, WHO 2012).  
51 During an actual cholera outbreak or epidemic it is almost impossible to implement the  
52 previously mentioned prevention measures. But, interventions that result in the prevention  
53 of new infections and saving of lives may be required. Such interventions should enable the  
54 active participation of all tiers of the affected society.

55 Currently, the use of Oral Rehydration Therapy (ORS), antibiotics, and to an extent,  
56 vaccination has been recommended as interventions to save lives and prevent new  
57 infections (WHO 2010, Date, *et al.* 2011). Although these interventions are acceptable their  
58 implementation remains a challenge due to constraints such as the cost of execution, ease  
59 of use and technical knowhow. ORS requires trained personnel on site to prepare the  
60 solution. Alternatively, ORS sachets could be purchased and distributed to the population  
61 facing a cholera outbreak or epidemic. Antibiotics could be used to treat patients with  
62 cholera. However, this intervention is threatened by the emergence of more virulent strains  
63 of *Vibrio cholerae* that may be resistant to the readily available antibiotics (WHO 2010).

64 Vaccines may have the potential to prevent new infections if they are readily available. The  
65 unavailability of vaccines could be attributed to the costs and logistics involved in their  
66 preparation, shipping and storage (Date, *et al.* 2011) as well as their multi-dose regimen  
67 (Date, *et al.* 2011, William 2011). Furthermore, the vaccines may not be as efficacious in the  
68 affected community as previously documented in clinical trials done elsewhere (Shahjahan  
69 2005, Ryan, *et al.* 2006, WHO 2010). Vaccination of infected persons is also complicated by  
70 issues concerning the vaccination schedule and whether the affected population should  
71 stop using water from their current sources while they wait for subsequent doses of the  
72 vaccine.

73 Clearly an intervention that could significantly reduce the burden associated with cost is  
74 required. Such an intervention should also be easy to use, sustainable (McGuigan, *et al.*  
75 2012) and compatible to the life style of the people living in the affected community. Solar  
76 water Disinfection (SODIS) is one intervention that satisfies these criteria and could be used  
77 in conjunction with the currently available prevention and crisis control interventions.

#### 78 **Solar water disinfection**

79 SODIS is a process in which the quality of drinking water is improved through exposure to  
80 natural sunlight in transparent vessels for a period of 6 to 8 hours on clear days and for two  
81 days during cloudy weather (Heaselgrave, *et al.* 2006, Boyle, *et al.* 2008, Navntoft, *et al.*  
82 2008, Ubomba-Jaswa, *et al.* 2008). The process by which the disinfection occurs seems quite  
83 easy and straight forward although the underlying mechanisms are complex. Effective  
84 bacterial inactivation is judged by the inability of the microorganisms to form colonies after  
85 SODIS treatment (Smith, *et al.* 2000). Downes and Blunt (1877) were the first to present  
86 empirical evidence of the bactericidal effect of sunlight; however, its use to sanitise water

87 can be traced as far back as 2000BC. Presently, Downes and Blunt's (1877) observations  
88 regarding the bactericidal effect of solar radiation have been refined and tested in the field  
89 by various research teams with subsequent implementation in various countries  
90 (Eawag/Sandec 2008). Studies by Acra et al. (1989) and Conroy et al. (1996) showed that the  
91 bactericidal effect resulting from solar radiation was due primarily to the ultraviolet  
92 component of sunlight.

93 Ultra Violet A (UVA) the most abundant component of Solar Ultra Radiation (SUVR) reaching  
94 the earth's surface enables the formation of reactive oxygen species such as superoxide  
95 radicals, hydroxyl radicals, hydrogen peroxide and singlet oxygen. These reactive molecules  
96 also known as photosensitisers are formed through a process known as photo-oxidation  
97 (Elasri & Miller 1999, Sinton, *et al.* 1999, Qiu, *et al.* 2004, Navntoft, *et al.* 2008). During  
98 SODIS, the interaction between the photosensitisers and the actively growing  
99 microorganism results in irreversible damage to the microbial catalyase systems rendering  
100 them susceptible to damage from peroxide formation (Bailey, *et al.* 1983, Alonso-Sáez, *et al.*  
101 2006). Furthermore, UVA through photo-oxidation blocks the electron transport chain  
102 incapacitating ATP synthesis; induces damage to the cell membrane thus inactivating  
103 transport systems; interferes with metabolic energy production and causes single strand  
104 breaks in DNA (Berney, *et al.* 2006, Bosshard, *et al.* 2010a, Bosshard, *et al.* 2010b). On the  
105 whole, UVA causes indirect multi-target damage to the microbial cellular components such  
106 as DNA, protein and lipids through the formation of photosensitisers (Joux, *et al.* 1999).

107 Despite the fact that biological systems exposed to SUVR causes reduced functionality and  
108 destruction, there are protective mechanisms in cells that are capable of reversing some of  
109 this damage especially at the DNA level. A number of different DNA repair mechanisms

110 relevant to SUVR damage have been established including photo-reactivation repair,  
111 nucleotide excision repair and post replication repair and SOS repair (Diffey 1991, Arrage, *et*  
112 *al.* 1993, Joux, *et al.* 1999). However, these repair mechanisms are all dependent on the  
113 dose of SUVR (Bosshard, *et al.* 2010a), the environment of exposure (Faruque, *et al.* 2006,  
114 Quinones, *et al.* 2006, Ssemakalu 2011) as well as cellular targets.

### 115 **Impact of SODIS on the spread of waterborne diseases**

116 The consumption of SODIS water in sub-Saharan African and various East Asian countries  
117 has reduced the percentage of individuals acquiring water borne diseases such as dysentery  
118 typhoid and cholera (Conroy, *et al.* 1996, Conroy, *et al.* 2001, Du Preez, *et al.* 2010). This has  
119 been attributed mainly to the ability for SUVR to inhibit the growth of the contaminating  
120 microorganisms, viruses such as poliovirus and giardia cysts (Heaselgrave, *et al.* 2006,  
121 Heaselgrave & Kilvington 2012). The effect of SUVR on the pathogens is not dependent on  
122 their antibiotic status. Furthermore, sunlight the primary source of SUVR is readily available  
123 in waterborne disease endemic regions.

124 The epidemiological benefits of consuming SODIS water go beyond the technique and  
125 biology of microbial inactivation. Therefore it is important to consider the immunological  
126 effects that may arise from the consumption of SODIS water as an integral aspect of the  
127 overall benefits. The nature of the microbial constituents in water following SODIS is  
128 ambiguous (Bosshard, *et al.* 2009, Bosshard, *et al.* 2010b, Ssemakalu 2011) but may present  
129 an assortment of microbial antigenic determinants or epitopes. The consumption of SODIS  
130 water may result in an immune reaction and/or an immune response depending on how the  
131 microbial epitopes are received and processed by the cells of the immune system.

132 **The effect of SODIS water on human mucosal immunity**

133 The consumption of SODIS water is of great relevance to the intestinal mucosa. In this  
134 environment, a thin layer of epithelial cells separates the inner corpus from the surrounding  
135 environment. The antigen-antibody effect of SODIS occurs in the intestinal mucosal  
136 environment. The prospective antigens in SODIS water are acquired by Antigen Presenting  
137 Cells (APCs) and transported to the mesenteric lymph nodes as well as the numerous small  
138 isolated lymphoid follicles along the wall of the intestine for presentation to T-cells.  
139 Following the presentation of the antigens by the APC, the T cells are then activated with  
140 subsequent migration to all the non-lymphoid tissues (Lefrancois & Puddington 2006). An  
141 even more important component of the immune system of intestinal mucosal environment  
142 is the lamina propia (LP) tissue. The LP is a connective tissue beneath the basement  
143 membrane supporting the overlying epithelial cells of the small and large intestine. This  
144 tissue is rich in various cells of both the innate and adaptive immune system such as APCs as  
145 well as T-cells (Rescigno, *et al.* 1998, Guermonprez, *et al.* 2002, Trombetta & Mellman 2005,  
146 Lefrancois & Puddington 2006). In the presence of any foreign material arising from the  
147 consumption of SODIS water; it is highly probable that this material may be engaged by the  
148 cells of the immune system. But the extent of this engagement still remains unknown.

149 **The nature of antigens derived from SODIS water**

150 Given the complex nature of the constituents of SODIS water and the possible influence it  
151 may have on the immune system, it is important to consider three crucial factors discussed  
152 by Pradeu and Edgardo (2006). The first factor requires consideration of the quantity of the  
153 antigens. In this regard it is widely known that a low antigen dose would not trigger a

154 sufficient immune response simply because the generation of antigen specific regulatory  
155 cells is favoured (Faria & Weiner 2005). This could be the case with SODIS users during  
156 periods of an absence of outbreaks and epidemics. During such periods the concentration of  
157 *V. cholera* in the water that a community utilises is often low (Ryan & Calderwood 2000). On  
158 the other hand, during outbreaks or epidemics the bacterial load in untreated water is high  
159 enough to cause a waterborne disease. For instance, it would take between 9 and 11 logs of  
160 *V. cholerae* cells to infect a healthy individual whereas in individuals with hypochlorhydria  
161 between 4 and 6 logs are required to cause cholera. The infected individuals excrete almost  
162 13 logs of *V. cholerae* cells in their stool per day (Ryan & Calderwood 2000). This results in a  
163 rapid dissemination of the infection in the population because of the unavailability of  
164 adequate sanitary facilities. Solar irradiation has been shown to effectively inactivate a  
165 significant amount of *V. cholerae* cells from a bacterial dose comparable to that required to  
166 cause a cholera infection (Ssemakalu, *et al.* 2012). Therefore it is possible that individuals  
167 that rely on SODIS to decontaminate their water during a cholera outbreak or epidemic,  
168 access a high antigen dose of *V. cholerae*. This may result in the generation of a proper  
169 immune response. Alternatively such a high antigen dose may result in the  
170 unresponsiveness in T cell function through anergy/deletion (Faria & Weiner 2005).

171 The second factor considers the degree of molecular difference between the new antigen  
172 and the antigens with which the immune receptors constantly interact (Avci & Kasper 2009).  
173 In developing countries, the consumption of waterborne disease causing microorganisms is  
174 apparent. Communities that regularly consume waterborne pathogens such as *V. cholerae*  
175 probably develop tolerance towards these pathogens (Svennerholm, *et al.* 1980). The  
176 development of tolerance towards waterborne pathogens could make vaccines generated



177 from common pathogenic entities less effective amongst the SODIS users as well as  
178 individuals in water borne endemic areas. Alternatively, SODIS treatment of water  
179 containing pathogens may possibly result in beneficial alteration, accessibility and  
180 preservation of the integrity of the possible epitopes. These epitopes may include proteins  
181 such as the chitin binding protein A, outer membrane protein U and unsheathed flagella  
182 (William 2011). Furthermore, the consumption of SODIS water during a waterborne disease  
183 outbreak such as cholera, if at all immunogenic, derives its epitopes from the current status  
184 of the microbial strain and hence may provide a relevant immune response.

185 The third factor to consider is the speed of appearance of the infrequent antigenic  
186 determinants. SODIS may induce slow or extreme rapid modifications of the antigenic  
187 epitopes thereby preventing the ability to prompt an immune response. It is also possible  
188 that SODIS may provide the right conditions for the generation of critical modifications on  
189 epitopes that could result in the induction of an immune response rather than an immune  
190 reaction.

191 Considering the above factors, the consumption of SODIS water may result in three major  
192 consequences discussed by Faria and Weiner (2005): i) a non-inflammatory response  
193 marked by anti-inflammatory cytokine secretion, ii) the priming of a systematic immune  
194 response involving the production of serum antibodies as well as proinflammatory  
195 cytokines, and iii) a state of systemic and or local immunological tolerance.

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198 **Summary**

199 The views expressed in this manuscript do not aim to under look the relevance of SODIS in  
200 underprivileged communities simply because there is a higher infection rate within non  
201 SODIS users (Firth, *et al.* 2010, Graf, *et al.* 2010). Nonetheless it is imperative to substantiate  
202 the role that SODIS water consumption may have on the immune system. Could it be  
203 possible that the consumption of SODIS water may confer significant desirable  
204 immunological effects onto the consumers? This may be true considering the benefits of  
205 SODIS in the current literature. However, empirical evidence is required to substantiate all  
206 the hypotheses put forward since the extent of protection that may be conferred onto the  
207 SODIS water consumers remains unknown. There is almost no knowledge on how the  
208 bacterial states following solar irradiation in water may influence antigen processing or  
209 development of the antigen presenting cells. In our laboratory we are investigating some of  
210 these hypotheses through studying the influence that antigens and bacterial states  
211 generated through solar irradiation of *V. cholerae* may have on the immune system.

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