

1 **Advantages of the use of Bacillus based probiotics in poultry production**

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9
10 **Abbreviated title:**

11 Bacillus probiotics for poultry production

12
13 **Summary**

14 Broiler production is one of the most lucrative food industries globally, due to the demand for
15 poultry products. Regulations on the use of antibiotic growth promoters (AGP) in animal
16 husbandry are becoming stricter and have been banned in some countries. As a result,
17 probiotics provide a more suitable alternative as growth promoting agents. Bacillus based
18 probiotics, mostly due to their spore forming ability are attractive alternatives to conventional
19 probiotics. These organisms have shown to elicit a myriad of probiotic effects, which include
20 but are not limited to the reduction in the prevalence of poultry pathogens, aiding in digestion
21 and absorption due to the production of various exogenous enzymes and immunomodulation
22 benefits. Furthermore, there are advantages in the cost and efficiency of the isolation, selection
23 and development of processes. Additionally, many *Bacillus spp.* are safe and the spores are
24 tolerant to the harsh conditions of the GIT. Besides these important considerations, the key
25 advantages for the use of Bacilli as feed probiotics is their robust nature pertaining to industrial

26 production because spores can be produced at high cell density, survive the conditions of
27 downstream processing and retain viability when formulated into probiotic products. In
28 addition, the ability of spores to retain metabolic activity and regenerate upon application
29 allows for stable storage and longer product shelf life.

30

31 **Key words:** Bacillus, probiotics, broiler, production, industrial application

32

33 **Introduction**

34 The poultry industry is amongst the largest meat industries globally, producing approximately
35 23 billion broiler chickens in 2016 (FAOSTAT 2018). Poultry production is estimated to
36 increase by 24% over the next decade, reaching ~131,255 thousand metric tons by 2025
37 (Poultry 2018). This industry results in multi-billion-dollar trade, due to the continuous demand
38 for produce, which necessitates high efficiency production and high-stocking densities,
39 consequently exposing poultry to stressful conditions, resulting in disease and death.

40

41 To prevent losses, antibiotic growth promoters (AGP) are used as a means of enhancing broiler
42 production and reducing the prevalence of infectious zoonotic and other diseases. However,
43 the indiscriminate use of antibiotics for prophylactic and nutritive applications have led to the
44 proliferation of highly resistant pathogens and susceptible organisms also continue to develop
45 antibiotic resistance. For this reason, countries in the EU (Casewell *et al.*, 2003, Perreten 2003),
46 the US (Mathew *et al.*, 2007) and Scandinavia (Bengtsson and Wierup 2006) have banned the
47 use of AGPs in livestock production, which will soon become a reality for many other
48 countries. The increase in consumer demand for poultry products that are organic, antibiotic
49 free, and devoid of artificial chemicals, hormones and other harmful substances, further

50 necessitates the requirement for alternative growth promoting-disease suppressing products
51 (*Yiridoe et al.*, 2005).

52

53 The response from industry to AGP-free farming has been controversial due to cost, loss of
54 efficiency and deterioration in animal health (*Casewell et al.*, 2003, *Maron et al.*, 2013, *Teillant*
55 *and Laxminarayan* 2015). However, consumer preference for safe foods is driving the
56 development of new technologies that can support industry adoption of alternatives to AGP
57 substances. In order to adapt to new regulations, the broiler industry, including feed
58 manufacturers, had to consider other sustainable options that could replace antibiotics. These
59 include in-feed additives such as organic acids, plant derivatives (phytogenics), enzymes,
60 essential oils, and prebiotics. The benefits of these alternatives are covered extensively in
61 reviews (*Gadde et al.*, 2017a, *Huyghebaert et al.*, 2011, *Sethiya* 2016). Despite some successes
62 in broiler health and production, these additives contribute considerably to the cost of poultry
63 production, necessitating the need for alternative products (*Yang et al.*, 2009).

64

65 Probiotics are an attractive alternative as an in-feed additive, and this new technology is
66 addressing the challenges of both cost and efficacy. A probiotic is defined as a preparation
67 containing viable or inactivated, known microorganisms in sufficient numbers, which exert
68 beneficial effects on the host (*Schrezenmeir and de Vrese* 2001). Probiotics have been shown
69 to improve feed utilisation, feed conversion ratio (FCR), reduce the prevalence of disease and
70 improve the holistic health and vigour in poultry. Furthermore, being safe and natural,
71 probiotics do not risk the well-being of poultry or consumers with ongoing use (*Ghadban* 2002,
72 *Kabir* 2009, *Patterson and Burkholder* 2003).

73

74 The most abundantly used probiotics in broiler production are *Lactobacillus spp.* and
75 *Bifidobacterium spp.* due to their health promoting benefits and as an extension of their use as
76 human probiotics. These probiotics were primarily used to reduce the prevalence of chicken
77 pathogens but also have other positive effects such as immunomodulation, regulation of the
78 gut microflora, and aiding in digestion and absorption (Kabir 2009), resulting in improved feed
79 conversion efficiency and growth (Ghadban 2002, Kabir 2009, Patterson and Burkholder
80 2003). However, the implementation of these organisms in the poultry industry remains
81 challenging because of constraints such as lack of stability in the feed manufacturing process,
82 poor shelf life and limited survival in the gastrointestinal tract (GIT).. This results in reluctance
83 for adoption of these probiotics by the poultry industry, due to the lack of cost to benefit ratio
84 (Mattila-Sandholm *et al.*, 2002).

85

86 There is an emerging preference for *Bacillus* based probiotics in the poultry industry, because
87 this Genus has characteristics that overcome the challenges associated with conventional
88 probiotics. Their endospore forming ability enables these organisms to be stable during feed
89 manufacture, storage and survival through the gut. For this reason, these organisms have
90 already been successfully applied in other types of animal production, such as aquaculture,
91 ruminants, pigs and domestic animals (Chaucheyras-Durand and Durand 2009). Although
92 limited, studies are emerging on the use of *Bacillus spp.* as poultry probiotics, due to their
93 attractiveness. This review covers the challenges associated with conventional probiotics and
94 the industry relevant advantages of *Bacillus spp.* as poultry probiotics. The mechanisms of
95 action as probiotics, the ease of development of technology, the feasibility of commercial
96 production and inclusion in poultry feed are addressed. Further considerations regarding their
97 biosafety and regulatory compliance have been discussed.

98

99 **Conventional probiotics used by the poultry industry**

100 There are many species of conventional probiotics currently used in the poultry feed industry,
101 which have enhanced broiler performance, however, their disadvantages have stifled proper
102 industry adoption. Lesser used conventional chicken probiotics include *Saccharomyces spp.*,
103 *Aspergillus spp.*, *Enterococcus spp.* and *Bifidobacteria*. Although not indigenous to the
104 chicken GIT *Saccharomyces spp.*, offer probiotic advantages such as resistance to
105 ochratoxicosis, coccidiosis and mycotoxins, protection against bacterial infections and are
106 devoid of issues with regards to transmission of antibiotic resistance (Czerucka *et al.*, 2007,
107 Gao *et al.*, 2008, Reddy *et al.*, 2005). *Aspergillus spp.* have been reported to improve gut
108 microflora by supporting the growth of beneficial bacteria, reducing serum cholesterol and gas
109 production (Han *et al.*, 1999, Kim *et al.*, 2003, Lee *et al.*, 2006). *Enterococcus spp.* are
110 indigenous to chickens and have been shown to prevent gastrointestinal diseases, colonization
111 of enteric pathogens and increase beneficial bacteria in the GIT (Audisio *et al.*, 2000, Franz *et*
112 *al.*, 2011, Samli *et al.*, 2007, Wendt *et al.*, 1998). *Bifidobacteria* also indigenous to chickens
113 assist in reducing pathogen transmission and produce beneficial compounds (Baffoni *et al.*,
114 2012, Jung *et al.*, 2008).

115

116 *Lactobacillus spp.* are most popularly used in broiler production and are considered model
117 probiotics as they are naturally present in the GIT of poultry (Kabir 2009). *Lactobacillus spp.*,
118 have been traditionally used in producing various fermented foodstuffs for years, are
119 considered safe (Soccol *et al.*, 2010) and its probiotic effects in poultry has been shown
120 extensively (Haghighi *et al.*, 2006, Jahromi *et al.*, 2016, Jin *et al.*, 1996, Jin *et al.*, 1998, Kabir
121 *et al.*, 2004, Kalavathy *et al.*, 2003, Mookiah *et al.*, 2014, Pascual *et al.*, 1999, Timmerman *et*
122 *al.*, 2006, Tsai *et al.*, 2005).

123

124 Contrastingly, several reports indicated that conventional probiotics do not meet some of the
125 key industry criteria regarding performance. A broiler study by Olnood *et al.*, (2015) using four
126 *Lactobacillus spp.* resulted in no significant difference in weight gain and FCR. Similarly,
127 Brzoska *et al.*, (2012) found that *Lactococcus lactis* 847 did not produce a significant difference
128 in body weight, FCR and carcass fatness, all crucial parameters required for probiotic
129 acceptance in the poultry industry. A study by Haghghi *et al.*, (2005) showed that treatment
130 with *Lactobacillus acidophilus* and *Bifidobacterium bifidum* did not enhance antibody response
131 in chickens.

132

133 Possible reasons for the lack of effect when using conventional probiotics are ascribable to
134 reduced survival against the harsh conditions prevalent within the chicken GIT as reported by
135 Santini *et al.*, (2010) who demonstrated the *in vitro* survival of only two of 11 different
136 *Bifidobacterium* and *Lactobacillus* strains tested in a simulated gastric environment. In another
137 study by Shokryazdan *et al.*, (2014) only three out of 42 *Lactobacillus spp.* survived the
138 simulated acid and bile *in vitro* tests, whereas Taheri *et al.*, (2009) showed that none of the
139 *Lactobacilli* they had screened were resistant to a bile concentration of 0.3% which is usually
140 the minimum lethal dose. Furthermore, a tolerance to bile was shown by *Lactobacillus spp.*
141 however there was low viability in simulated gastric juice (Martin *et al.*, 2018).

142

143 Besides issues of viability within the GIT, most conventional probiotics have disadvantages in
144 their production and in subsequent downstream production processes, mainly due to the fragile
145 vegetative state, which is more susceptible to physical parameters such as pH, temperature,
146 pressure, oxygen and mechanical shear. Feed probiotics need to be produced at much larger
147 quantities than those used for human consumption, as larger quantities are required for animal
148 cultivation, and as a result need efficient production processes (Simon *et al.*, 2005). The two

149 main issues with high intensity cultivation of *Lactobacillus spp.* at industrial scale, are low cell
150 growth rate and a high accumulation of lactate which inhibits production (Elmarzugi *et al.*,
151 2010), whereas *Bifidobacteria* are sensitive to acidic pH and exposure to oxygen (Ibrahim and
152 Bezkorovainy 1994). There are ongoing efforts to improve the high cell density cultivation of
153 conventional probiotics, but the fundamental challenges remain (Chin *et al.*, 2015, Doleyres
154 and Lacroix 2005, Lacroix and Yildirim 2007, Saarela *et al.*, 2004).

155

156 The poultry industry prefers the use of stable powdered products for various reasons such ease
157 of handling and incorporation into the feed, easier administration to the birds and more
158 importantly transport and storage considerations. The dry product form dictates that the
159 conventional probiotics require more costly drying processes such as freeze drying whilst
160 cheaper dry processing alternatives such as spray drying and drum drying often require higher
161 temperatures, causing damage to vegetative cells. These methods of drying have been used for
162 *Lactobacillus spp.*, *Bifidobacterium* and *Saccharomyces spp.* however the processing
163 challenges limits the adoption of these products by industry (Wang *et al.*, 2004).

164

165 Conventional probiotic products require lower temperatures to preserve viability in the
166 vegetative state, requiring specialised logistics and costly storage. A study by Abd-Talib *et al.*,
167 (2013) showed that *Lactobacillus plantarum* lost 99% of viability after two weeks of non-
168 refrigerated storage. Conventional probiotics are also susceptible to the process conditions
169 (high temperature, pressure and sheer) involved in feed manufacture. The extrusion and
170 pelletizing processes reach temperatures of 75-85 °C, whereas the tolerant temperature range
171 of some *Lactobacillus spp.* is only 60-65 °C (Teixeira *et al.*, 1997), which results in the
172 destruction of the majority of viable cells (Kosin and Rakshit 2006). Other classical probiotics
173 such as *Enterococcus spp.* and *Bifidobacterium* have been shown to withstand temperatures

174 between 50- 60 °C and are therefore destroyed during the higher temperature processes
175 involved in feed manufacture (Lian *et al.*, 2002, Simon *et al.*, 2005).

176

177 Due to these limitations, probiotics that are restricted to the vegetative state are as yet not ideal
178 as AGP replacements in the poultry industry (Ghosh *et al.*, 2016). This substantiates the
179 exploration of alternate micro-organisms to better address the needs of the poultry industry.

180

181 **The use of *Bacillus spp.* as poultry probiotics**

182 The genus *Bacillus* are Gram-positive, catalase producing, rod shaped bacteria that are
183 ubiquitous in soil, air and water (Cutting 2011). Their key advantage over other species is their
184 inherent ability to form spores that resume viability under favourable conditions. Bacilli are
185 renowned work horses of industry with applications in almost every sector (Schallmeyer *et al.*,
186 2004). Using these organisms as probiotics has gained more recent interest due to their positive
187 attributes.

188

189 One of the historical concerns relating to the use of *Bacillus* species as poultry probiotics is
190 that they are predominately aerobic, questioning their ability to proliferate within the anaerobic
191 regions of the small intestine (Cutting 2011). To illustrate, the caeca region of the poultry gut,
192 is predominantly anaerobic, and may hamper the probiotic effect of this group of organisms
193 (Svihus 2014). However, it is well known that *Bacillus spp.* can utilize nitrate or nitrite (in
194 place of oxygen) as the terminal electron acceptor, thereby facilitating anaerobic respiration,
195 which enables them to survive in anoxic conditions (Cartman *et al.*, 2008). Barbosa *et al.*,
196 (2005) first elucidated that Bacilli are found within the chicken GIT and thereafter a study by
197 Cartman *et al.*, (2008) has proven that *B. subtilis* are able to germinate in the chicken GIT.
198 Furthermore, there have been various other reports of *Bacillus spp.* isolated from the GIT of

199 chickens (Chaiyawan *et al.*, 2015, Nguyen *et al.*, 2015, Wolfenden *et al.*, 2010), mitigating the
200 reservations of the survival of this species within the gut.

201

202 Other concerns centre around the ability of *Bacillus spp.* to elicit a probiotic effect, as
203 *Lactobacillus spp.* have been considered as the gold standard with regards to beneficial effects
204 not only to poultry applications, but also in humans. Newer information provides evidence of
205 *Bacillus spp.* showing probiotic characteristics in several *in vitro* and *in vivo* studies (Cutting
206 2011, Grant *et al.*, 2018, Hong *et al.*, 2005). The poultry industry is swiftly moving towards
207 the use of *Bacillus* based probiotic products, mostly because of its ease of use. Many companies
208 have successfully commercialized *Bacillus* based poultry products as listed in Table 1, and
209 these probiotics have been approved by the EU as safe for use in feed. *Bacillus subtilis* in
210 particular is deemed as one of the most successful probiotic species used in poultry feed (Hong
211 *et al.*, 2005).

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223 Table 1: *Bacillus spp.* probiotics used in the poultry industry

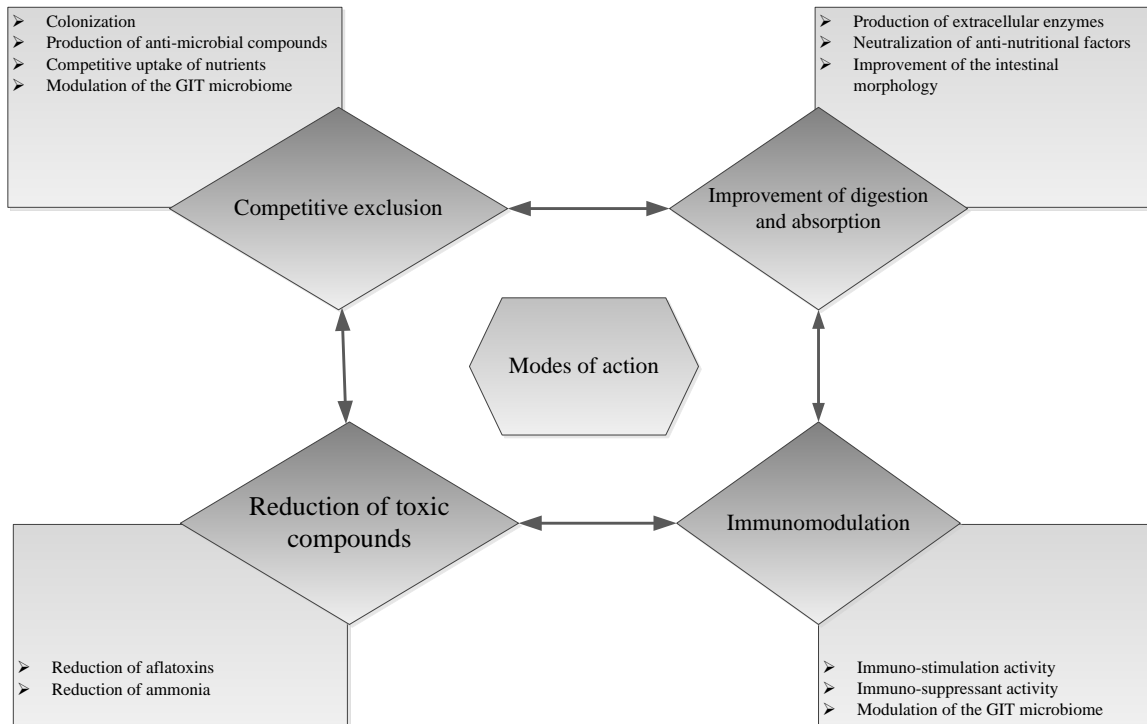
Bacillus Product	Manufacturer	Species	Commercial strain designation	Reference
Calsporin [®]	Calpis Co. Ltd. Japan	<i>Bacillus subtilis</i>	C-3102	(Fritts <i>et al.</i> , 2000, Maruta <i>et al.</i> , 1996)
GalliPro [®]	CHR Hansen, Denmark	<i>Bacillus subtilis</i>	DSM 17299,	(Abudabos <i>et al.</i> , 2015, Lund <i>et al.</i> , 2005)
SPORULIN [®]	Novus International, Inc., US	Mixture of 3 <i>Bacillus subtilis</i>	unknown	(Kim <i>et al.</i> , 2017, Wang 2017)
CLOSTAT [™]	Kemin Industries Inc., US	<i>Bacillus subtilis</i>	PB6	(Abudabos <i>et al.</i> , 2013, Teo and Tan 2006, Teo and Tan 2007)
Enviva [®] PRO	DuPont Industries, US	<i>B. amyloliquefaciens</i>	PTA-6507	(Additives and Feed 2016b, Dersjant-Li <i>et al.</i> , 2013)
B-Act	AgriHealth, Australia	<i>B. licheniformis</i>	DSM 28710	(Additives <i>et al.</i> , 2019)
Alterion NE [®]	Adisso-Novazyme	<i>Bacillus subtilis</i>	DSM 29784	(Additives <i>et al.</i> , 2017)
BioPlus 2B/ BioGrow	Christian Hansen Hoersholm, Denmark	Mixture of <i>B. licheniformis</i> and <i>B. subtilis</i>	DSM 5749 and DSM 5750	(Additives and Feed 2016a)
Toyocerin	Asahi Vet S.A., Tokyo, Japan	<i>B. cereus var toyoi</i>	NCIMB- 40112/CNCM- 1012	(Vilà <i>et al.</i> , 2009)

224

225 **Modes of action of *Bacillus spp.***

226 *Bacillus* species have a wide range of beneficial features which can be categorised as
227 mechanisms that facilitate their corresponding probiotic effect (modes of action). The modes
228 of action of poultry probiotics in general have not been fully elucidated, but some mechanisms
229 have been proposed (Edens 2003, Ng *et al.*, 2008, Vilà i Miquel *et al.*, 2010). In principle, the
230 mechanism of action through which *Bacillus sp.* in their vegetative state may function as
231 probiotics, are the same as those for other probiotic organisms. However, *Bacillus spp.* are
232 known to be fastidious and can grow and replicate rapidly within the GIT of chickens (Cartman
233 *et al.*, 2008, Latorre *et al.*, 2014). The intrinsic growth rate of probiotics plays a vital role in
234 the functioning and success of the probiotic as the growth rate affects all modes of action
235 directly as a consequence of cell number and metabolic activity. With regards to probiotics

236 used in poultry, not much literature is available on direct mechanisms of action, however, there
 237 is a significant amount of research showing the improvement of growth and health in animal
 238 studies. Mechanisms of action are not mutually exclusive, as a probiotic can function with one,
 239 or a combination of several mechanisms (Figure 1).
 240



241
 242 **Figure 1:** Modes of action (diamonds) of *Bacillus* probiotics and associated mechanisms of
 243 actions (boxes) relevant to the poultry industry

244

245 **Probiotic effect 1: Competitive exclusion (CE)**

246 The main drivers to finding suitable replacements to antibiotics are prevention of antibiotic
 247 resistance in chicken pathogens and consumer resistance to foods containing
 248 antibiotics(Dhama *et al.*, 2013a). Such substitutes are important to the poultry industry, as
 249 zoonotic diseases such as necrotic enteritis caused by *Clostridium perfringens* can eradicate an
 250 entire production flock with detrimental economic effects (Hafez 2011). Other zoonotic

251 diseases such as listeriosis (Dhama *et al.*, 2013b) and salmonellosis (Boyle *et al.*, 2007) have
252 more seriously led to consumer fatalities..

253

254 Competitive exclusion (CE) relates to the exclusion of undesirable pathogens by probiotic
255 organisms (Callaway *et al.*, 2008). The mechanisms used by probiotics to reduce the growth
256 of pathogenic species vary, including competition for physical attachment sites and space,
257 direct and indirect competition for essential nutrients, production of antimicrobial compounds
258 and synergistic interactions of two or more of the above mechanisms (Bermudez-Brito *et al.*,
259 2012, Callaway *et al.*, 2008). Generally probiotic organisms will occupy a particular niche
260 within the intestinal tract and dominate that niche at the detriment of undesirable
261 microorganisms (Callaway *et al.*, 2008).

262

263 Colonization occurs when probiotic microorganisms adhere more strongly to the epithelial cells
264 of the gut thereby excluding opportunistic pathogens by spatial domination (Dhama *et al.*,
265 2011). This strategy has been more frequently used as one of the methods to control endemic
266 and zoonotic agents in poultry, especially in day old chicks, where the gut microbiome is
267 entirely populated by exogenous organisms (Pan and Yu 2014). Chicks are immunologically
268 immature until about 3-4 weeks of age and are prone to rapid and persistent colonisation by
269 both commensal and pathogenic bacteria (Hughes 2008). The introduction of probiotics
270 enables colonization of only beneficial bacteria at a young age thereby reducing diseases
271 propensity. *Bacillus spp.* have been shown to populate this niche environment (Barbosa *et al.*,
272 2005), however, the evidence for adherence to epithelial cells by *Bacillus spp.* have been
273 mostly demonstrated *in vitro*. The consensus is that this genera of bacteria are more transient
274 in nature compared to *Lactobacillus spp.* (Latorre *et al.*, 2014). Jadamus *et al.*, (2001)
275 suggested that *B. cereus var toyoi* persisted in the broiler GIT for 35 days, but did not

276 necessarily colonize it. Probiotics have been shown to function in a transient state and the
277 adhesion capacity of microorganisms is not obligatory to confer a probiotic effect (Vilà i
278 Miquel *et al.*, 2010). The persistence of *Bacillus spp.* in the GIT of poultry could be attributable
279 to the formation of biofilms which aid attachment to the gut epithelia, therefore increasing their
280 persistence in the intestinal mucosa and preventing colonisation by enteropathogens (Latorre
281 *et al.*, 2016). Besides enhanced adhesion to the intestinal mucus, biofilms are proposed to have
282 a protective role, shielding the probiotic from antimicrobial substances and gastric juices (Hong
283 *et al.*, 2009). Although *in vivo* data of *Bacillus* based poultry probiotics forming biofilms are
284 scarce, there are several *in vitro* assessments where biofilm formation has been shown (Barbosa
285 *et al.*, 2005, Larsen *et al.*, 2014, Latorre *et al.*, 2016, Prieto *et al.*, 2014).

286

287 The colonisation of the GIT of probiotic organisms is not only attributable to adhesion and
288 biofilm production, but also cell motility, which allows for the extensiveness of colonisation
289 through various regions of the gut as demonstrated by Aguiar *et al.*, (2013). This study reported
290 on the ability of a *Bacillus* based probiotic to competitively exclude *Campylobacter jejuni* due
291 to motility of the probiotic.

292

293 CE by probiotics can also be achieved by the competitive uptake of essential nutrients that are
294 necessary for pathogen growth. The faster uptake of nutrients such as carbon, glucose and iron
295 enable the probiotic to competitively exclude pathogens from growing. Being fastidious,
296 heterotopic microorganisms, *Bacillus spp.* have a high organic carbon utilization rate which
297 enables them to outcompete pathogens for specific nutrients (Slepecky and Hemphill 2006).
298 Iron is important nutrient for pathogen growth as it facilitates several vital processes including
299 oxygen binding, catalysis, and gene expression (Patel *et al.*, 2009). The synthesis of
300 siderophores by *Bacillus spp.*, which are low molecular weight chelating compounds that

301 facilitate competitive uptake of iron and its role in pathogen exclusion was shown (Lalloo *et*
302 *al.*, (Lalloo *et al.*, 2010b, Patel *et al.*, 2009). The competition for essential nutrients has mostly
303 been shown *in vitro*, however the decrease in pathogen load associated with the presence of
304 probiotics in the chicken GIT, is an indication of this mechanism *in vivo* (La Ragione and
305 Woodward 2003).

306

307 The production of antimicrobial compounds is one of the main mechanisms of CE and is well
308 reviewed in literature, specifically using *Lactobacillus spp.* (Ghadban 2002, Jin *et al.*, 1997,
309 Patterson and Burkholder 2003). *Bacillus spp.* are also capable of producing a large number of
310 antimicrobial peptides (AMP) such as lipopeptides, surfactin, bacteriocins and bacteriocin-like
311 inhibitory substances (Baruzzi *et al.*, 2011, Urdaci and Pinchuk 2004). These peptides fall
312 under two categories, (i) ribosome-produced AMPs which enable the bacterium to have a
313 narrow antimicrobial range against closely related organisms and (ii) non-ribosomal AMPs that
314 exert a broader antimicrobial range. The common mechanisms of bacteriocin-mediated killing
315 include the destruction of pathogenic cells by pore formation and/or inhibition of cell wall
316 synthesis and disruption of DNA, RNA and protein metabolism function which occurs within
317 the cell (Bermudez-Brito *et al.*, 2012). The antimicrobial activity of bacteriocins in poultry
318 production specifically with *Bacillus spp.* are difficult to study *in vivo*, however this is
319 extensively shown *in vitro* during pathogen inhibition studies (Khochamit *et al.*, 2015, Lim and
320 Kim 2009).

321

322 It is important to note that the use of certain microorganism may elicit an antimicrobial effect
323 due to the production of antibiotics, which is a highly undesirable trait, as pathogens develop
324 resistance to this class of AMP. When screening for probiotics it is important to investigate the
325 properties of the bacteriocins produced (Cotter *et al.*, 2013, Gruenheid and Le Moual 2012).

326 However in an extensive review, Grant *et al.*,(2018) showed that *Bacillus spp.* can produce a
327 range of AMPs, which are mediated through the disruption of bacterial membranes making the
328 development of pathogen resistance unlikely. Evidence of this was shown by Fernandes *et al.*,
329 (2007), in which two non-ribosomal produced AMPs isolated from *B. subtilis* was effective
330 against 25 multi-drug resistance bacteria. Specifically regarding poultry, Lee *et al.*, (2010a)
331 demonstrated that *Bacillus spp.* were able to produce AMPs that are cytotoxic to *Eimeria spp.*
332 therefore reducing the prevalence of avian coccidiosis and subsequent colonization of *C.*
333 *perfringens*. Others have shown the narrow spectrum of activity against a variety of chicken
334 pathogens such as *C. difficile* (Rea *et al.*, 2010), *Listeria monocytogenes* (Kamoun *et al.*, 2011)
335 and *Enterococcus faecalis* (Fuchs *et al.*, 2011), using *Bacillus* based AMPs.

336

337 The gut plays a pivotal role in maintaining good health in poultry as it offers the host protection
338 against biological invasion and is generally regarded as the first line of defence (Dhama *et al.*,
339 2011, Kabir *et al.*, 2004). The optimum functioning of the GIT is of primary interest to the
340 industry because it directly influences the vigour, growth and disease resistance, thus
341 improving production efficiency. Probiotics play a vital role in the regulation and maintenance
342 of the GIT by many interactive mechanisms that serve to enhance one or more modes of
343 actions. For example, the secretion of mucus by the goblet cells provides a barrier to foreign
344 agents and pathogens. It has been shown that the continuous supplementation with *Bacillus*
345 *spp.* can aid in the upregulation of the mucin-producing gene, *MUC2*, to counteract the
346 inflammation caused by pathogens (Grant *et al.*, 2018). Another gut associated mechanisms is
347 the enhancement of the epithelial barrier integrity by increasing the regulation of tight junction
348 proteins which bind to one another forming a continuous barrier that forms protection from
349 pathogens (Chichlowski *et al.*, 2007). Gadde *et al.*,(2017b) reported a distinct increase in tight
350 junction genes when challenged broilers were fed diets supplemented with *B. subtilis*.

351

352 Probiotics possess the ability to transiently colonize the GIT and positively enhance the
353 composition of the intestinal microflora of chickens via the stimulation of beneficial
354 populations and the CE of pathogenic bacteria, thereby creating a balance in the gut microbiota.
355 (Keeney and Finlay 2011, Ng *et al.*, 2008). *Bacillus spp.* have the ability to positively affect
356 the growth of the native microorganisms in poultry GIT through the consumption of oxygen
357 which creates a more favourable environment to facilitate the growth of commensal anaerobic
358 species (Baruzzi *et al.*, 2011). Some of these microbes produce lactic acid thus facilitating the
359 exclusion of pH- sensitive pathogens (Song *et al.*, 2014). There is reported evidence of an
360 increase in *Lactobacillus spp.* in the gut of broilers fed different *Bacillus* based probiotics with
361 a subsequent decrease in enteropathogens (Lei *et al.*, 2015, Wu *et al.*, 2011). Hosoi *et al.*,
362 (2000) proposed that *B. subtilis* were able to enhance the growth of Lactobacilli, through
363 production of catalase and subtilisin. The growth of other beneficial gut microbes such as
364 Firmicutes, Proteobacteria, Bacteroidetes, Actinobacteria, and Acidobacteria were all
365 increased when birds were fed diets containing *B. subtilis* and *B. coagulans* (Li *et al.*, 2018).
366 Beneficial species such as Ruminococcus, Lachnoclostridium, and Anaerostipes were also
367 found in higher relative abundance in *Bacillus*-treated birds in the ceca (Jacquier *et al.*, 2019).

368

369 *Bacillus spp.* have proven to elicit CE against many species of poultry pathogens, including
370 *Salmonella spp.* (Gil De Los Santos *et al.*, 2005, Menconi *et al.*, 2013, Park and Kim 2014,
371 Thirabunyanon and Thongwittaya 2012), *Clostridium spp.* (Abudabos *et al.*, 2013, Jayaraman
372 *et al.*, 2013, Teo and Tan 2005), *Escherichia coli*, (La Ragione *et al.*, 2001, Wu *et al.*, 2011),
373 *Campylobacter spp.* (Arsi *et al.*, 2015, Guyard-Nicodeme *et al.*, 2015) and also mixtures of
374 pathogens (La Ragione and Woodward 2003). The exact mechanism in which competitive

375 exclusion is achieved is not always indicated or clear, however it is generally ascribable to one
376 or more of the mechanisms discussed.

377

378 **Probiotic effect 2: Improvement in digestion and adsorption**

379 The function of the digestive system can be improved and regulated by two main probiotic
380 mechanisms, namely production of metabolic enzymes and the alteration of the intestinal villi
381 morphology to improve uptake of nutrients.

382

383 Poultry feed is typically made up of approximately 60% carbohydrates, 20% protein and 5%
384 fats. The cost of feed ingredients has been a major challenge to the industry and necessitates
385 the use of cheaper, non-conventional feed ingredients which are less digestible and have
386 negative impacts on feed conversion and gut health (Choct 2006).

387

388 In the case of carbohydrates, feed ingredient cost optimization has resulted in the increased use
389 of soluble and non-soluble Non-Starch Polysaccharides (NSP) (Khattak *et al.*, 2006). These
390 diets usually comprise of maize alternatives such as wheat, oats, barley and rye. These NSP
391 diets have high anti-nutritional factors (ANF) (primarily phytate, enzyme inhibitors and
392 resistant starches) and form a gel like viscous consistency within the intestinal tract (insoluble
393 NSP). This leads to reduced absorption of nutrients and ultimately reduced growth
394 performance. Poultry do not produce enzymes for the hydrolysis of NSPs and they remain un-
395 hydrolysed resulting in low feed conversion. Besides the use of NSP ingredients, the use of
396 low grade maize can also contain a high concentration of anti-nutritional components
397 (Cowieson 2005). Additionally water soluble β -glucans adversely affect uptake of other
398 nutrients, such as protein and starch and may also increase gut viscosity (Khattak *et al.*, 2006).

399 These ingredients cause several health issues such as foot lesions, hock burns, and carcass
400 downgrading as well as wet litter (Ravindran 2013).

401

402 Protein is one of the most expensive nutrients in broiler feed and the two most important protein
403 sources in poultry diets are from animal and plant products. Animal products traditionally
404 included fish meal and animal protein concentrates, which represents a considerable proportion
405 of the production costs. In some countries it is prohibited to incorporate animal meals into
406 broiler feeds therefore vegetable protein sources are becoming the norm (Teguia and Beynen
407 2005). Soybean meal (SBM) is the preferred protein source used in poultry feed manufacturing,
408 due to its high crude protein content, however it is costly. Furthermore raw and processed
409 soybean contain a high concentration of ANFs such as protease inhibitors (trypsin and
410 chymotrypsin) which effect protein utilization, lectins that effect carbohydrate utilization,
411 glycinin that have goitrogenic activity effecting the thyroid, saponins which effect palatability
412 and phytic acid that complexes with certain minerals (calcium, phosphorus, magnesium copper,
413 iron and zinc) and reduce their bioavailability (Yasothai 2016). These ANFs in soybean meal
414 is often heat treated to neutralize the activity, however this increases cost. SBM it is being
415 replaced by cheaper legume grains (black beans, groundnut and cowpea) which are also high
416 in ANFs such as protease inhibitors and lectins. In the case of fats, to counter act the use of
417 expensive oils, nutritionists utilize alternatives such as coconut oils and other oils rich in in
418 lauric and myristic acid that can negatively affect the intestinal morphology of birds (Zeitzi *et*
419 *al.*, 2015).

420

421 The incorporation of free enzymes in lower grade feed, alleviates the issues of ANFs and
422 improves digestion by the breakdown of less digestible feed components which enhances
423 nutrient absorption (Ravindran 2013). Some disadvantages of free enzymes include high cost,

424 stability at high temperatures and uncertainty of the amount and ration to be added (Ravindran
425 2013).

426

427 Bacillus probiotics that produce desirable enzymes offer an alternative to the use of free
428 enzymes. Furthermore, these probiotics will only produce enzymes in the presence of the
429 substrate and therefore offers a more intelligent system. Bacilli have been proven to produce
430 exogenous enzymes such as α -amylase, β -glucanase, xylanase, protease, phytase, lipase and
431 cellulase which are all important in the broiler industry in terms of carbohydrate, protein and
432 fat digestibility (Latorre *et al.*, 2015). These include the glycosyl hydrolase enzymes that
433 enables the efficient break down of complex NSP compounds into more easily digested
434 monosaccharides thus reducing intestinal digesta viscosity and improving uptake (Latorre *et*
435 *al.*, 2016). The action of these enzymes also results in increasing the availability of apparent
436 metabolizable energy (AME) in low grade feedstuffs due to hydrolysis of fibrous material.
437 Similarly, probiotic enzymes also enhance nutrient availability to the microbial flora in the
438 GIT. The production of enzymes by Bacillus based probiotics is an important criteria and is
439 often screened for *in vitro* (Hmani *et al.*, 2017, Latorre *et al.*, 2015, Lee *et al.*, 2012). It was
440 demonstrated that broilers fed with *B. coagulans* NJ0516 showed increased amylase and
441 protease activity which led to enhanced ng growth of broilers (Wang and Gu 2010).
442 Additionally, *B. subtilis* spores (GalliPro®) used as a feed additive, reduced the requirements
443 of amino acids and protein supplementation, subsequently reducing feed cost (Zaghari *et al.*,
444 2015). The benefit of enzyme producing probiotics is most impactful in reduced energy diets
445 (cheaper ingredients) because of the improved cost to benefit ratio (Harrington *et al.*, 2016).

446

447 The mechanism in which enzymes neutralize ANFs can be direct as with the enzyme phytase
448 which breaks down phytic acid thus releasing minerals for absorption. Furthermore

449 phosphatase prevent precipitation of penta-calcium phosphate, improving absorption of
450 calcium and phosphorus (Dida 2016). Indirect examples of ANF neutralization include
451 protease mediated breakdown of SBM, thus negating the effect of trypsin inhibitors and NSPs
452 breakdown by xylanase and β -glucanase which hydrolyses resistant starches. There is extensive
453 research on free enzymes neutralizing ANFs, however, studies on probiotics are limited but are
454 gaining traction. A recent study by Farhat-Khenakhlem *et al.*,(2018) showed the ability of *B.*
455 *amyloliquefaciens* US573 strain to secrete xylanase, β -glucanase and amylase and achieve
456 wheat digestibility (approximately 48%) *in vitro*.

457

458 The structure of the intestinal epithelium is an important factor contributing to digestibility and
459 gut health (Lei *et al.*, 2015). It is generally recognized that greater villus height and villus height
460 to crypt depth ratio improves nutrient absorption capacity of the small intestine (Montagne *et*
461 *al.*, 2003). The height of the villi is directly proportional to the rate of absorption, however
462 crypt depth and crypt depth to villi height ratio are also responsible for epithelial turnover and
463 activation of cell mitosis. As a result, an improvement of these morphologies lead to improved
464 absorption and gut health (Xu *et al.*, 2003). Samanya and Yamauchi (2002) fed broilers with
465 *Bacillus subtilis var. natto* and significantly improved villus height, cell area and cell mitosis.
466 Other studies on *Bacillus spp.* showed increased villi height and improved villi crypt depth to
467 height ratio (Abudabos *et al.*, 2013, Al-Fataftah and Abdelqader 2014, Jayaraman *et al.*, 2013,
468 Lei *et al.*, 2015, Li *et al.*, 2018, Ramlucken *et al.*, 2019, Sen *et al.*, 2012).

469

470 The impact of *Bacillus* based probiotics on improvement in digestion due to enzymes and gut
471 morphology are mainly realised *in vivo* through improvement in FCR, as shown by studies on
472 *B. subtilis* (Jacquier *et al.*, 2019, Molnár *et al.*, 2011) and *B. coagulans* (Li *et al.*, 2018), were
473 probiotic addition resulted in FCR improvement of approximately 5%. Several other studies

474 also showed an improvement in FCR due to Bacillus based probiotics (Gil De Los Santos *et*
475 *al.*, 2005, Jeong and Kim 2014, Lei *et al.*, 2015, Park and Kim 2014, Zhang *et al.*, 2013). In
476 our latest study using a multimode Bacillus probiotic, we showed an improvement in FCR due
477 to a combination of enzyme activity and improvement in GIT histomorphology (Ramlucken *et*
478 *al.*, 2019).

479

480 **Probiotic effect 3: Immunomodulation**

481 Immunomodulation refers to the alteration of the host's immune response to foreign agents and
482 pathogens either by antibody stimulation (immune-stimulation) or inflammation suppression
483 (immunosuppressant), to maintain the desired level of host immune-protection. (Klasing 2007).
484 Accordingly, the intestinal immune system must trigger a protective immune response against
485 pathogenic microbes while maintaining tolerance to antigens from food and commensal
486 bacteria. Gut-associated lymphoid tissues (GALTs) represent the largest compartment of the
487 immune system, and they are affiliated with the nervous and endocrine systems. Like all other
488 immune systems, a variety of both innate and adaptive immune responses against pathogenic
489 microbes takes place in the intestine (Kim and Lillehoj 2019). Innate immunity refers to non-
490 specific defence mechanisms that come into play quickly in response to antigens, whereas
491 adaptive immunity is more complex dealing with memory that facilitates future responses
492 against specific antigens. Monoclonal antibodies, cytokines, glucocorticoids, macrophages,
493 immunoglobulins, plasmapheresis, and related agents mainly produced by the GALT are
494 known to alter cellular or humoral immunity (Brisbin *et al.*, 2008, Wigley *et al.*, 2014).
495 Although avian cytokines are not as well defined as those of humans, there are studies that have
496 isolated a specific range of cytokines found predominantly in avian species. These include pro-
497 inflammatory cytokines: IL-6, IL-8 and IL-1 β , T helper lymphocytes (TH) which include TH1
498 cytokines: IFN- γ , IL-2, IL-18, which induce cell-mediated immunity and TH 3 cytokines: TGF-

499 β . There are also T- helper cytokines: IL-2 and others such as IFN- α , IFN- β , IL-15, IL-16 and
500 chemokines also play a role in immune regulation (Wigley and Kaiser 2003).

501

502 In the case of probiotics, there is greater evidence of their immune stimulatory activity whereas
503 their immunosuppressant activity is less studied. Immuno-stimulation occurs through bacterial-
504 epithelial cell crosstalk, which activates innate and adaptive immune responses to antigens.
505 Although, the exact mechanism of the immunomodulatory activities of probiotics is unclear, it
506 has been reported that probiotics stimulate different subsets of the immune system to produce
507 cytokines (Brisbin *et al.*, 2008). Other effects of probiotics on the immune system include the
508 stimulation of macrophages and natural killer cells as well as enhancing the phagocytic activity
509 of the gut cells (Yang *et al.*, 2009). Furthermore certain probiotic microorganisms can enhance
510 the function of the intestinal barrier related immune response, however the details of this mode
511 of action is unclear (Markowiak and Śliżewska 2018, Ng *et al.*, 2008). These immune activities
512 can reduce the incidence of diseases and promote chicken health, which correlates to improved
513 growth and performance. However, probiotic mediated regulation of the inflammatory
514 response must be functional without being excessive, otherwise it can result in attenuation of
515 immune response and damage to the gut tissue lining .(Gabriel *et al.*, 2006).

516

517 The ability of Bacillus organisms to stimulate a host immune response in chickens is common,
518 although the exact immunomodulatory mechanism is not always clear. There is evidence that
519 suggests a role of *B. subtilis* in the stimulation of the sIgA response which is necessary for
520 immunity against mucosal pathogens (Mingmongkolchai and Panbangred 2018). Khaksefidi
521 and Ghoorchi (2006) demonstrated that broilers fed *B. subtilis* had a positive effect on antibody
522 production against Newcastle disease and Lee *et al.*, (2015) showed immune responses to
523 causative necrotic enteritis agents (*Eimeria spp.* and *C. perfringens*). Several other studies using

524 *Bacillus spp.* also demonstrated immunomodulation in chickens (Gadde *et al.*, 2017b, Lee *et*
525 *al.*, 2011, Lee *et al.*, 2010b, Lee *et al.*, 2013, Rajput *et al.*, 2017, Xu *et al.*, 2012). The
526 augmentation of macrophage function is one way that *Bacillus* based probiotics enhance
527 immunity (Grant *et al.*, 2018). It has been reported that *Bacillus* spores support the development
528 of the GALT, increasing the number of intraepithelial lymphocytes and immunoglobulin
529 producing cells (Molnár *et al.*, 2011). Furthermore there is a direct correlation of sporulation
530 with the development of the GALT in *Bacillus spp.* (Tam *et al.*, 2006).

531

532 In a study by Wang *et al.*,(2018) *B. subtilis* was able to suppress heat stress related
533 inflammation by increasing levels of the anti-inflammatory cytokines IL-10 and IL-4. Rhayat
534 *et al.*, (2019) used different *B. subtilis* strains and showed inflammatory responses via different
535 mechanisms, where one strain upregulated the expression of tight junction's proteins, whilst
536 another strain blunted the function of IL-8 which when released initiates a pro-inflammatory
537 response. Jacquier *et al.*, (2019) demonstrated *Bacillus*-induced growth of *Butyrivibrio spp.*,
538 which are known to produce anti-inflammatory compounds such as conjugated linoleic acid,
539 illustrating indirect immunomodulation.

540

541 **Probiotic effect 4: Reduction of toxic compounds in the gut**

542 Probiotics can contribute to the reduction of toxicity in the gut from compounds such as
543 ammonia and aflatoxins, thereby improving health and vigour.

544

545 *B. subtilis* generates subtilin, which may reduce urease generating microbiota in the
546 gastrointestinal lumen thereby attenuating ammonia release (Wang *et al.*, 2009)Furthermore,
547 another mechanism for the reduction of ammonia in the gut by *Bacillus spp.* is the consumption
548 of ammonia as a metabolite, which prevents excessive ammonia toxicity arising from

549 hydrolysed uric acid(Ahmed *et al.*, 2014). *B. subtilis* and *B. cereus* were shown to be involved
550 in nitrification and therefore show potential for abatement of ammonia toxicity under different
551 conditions (Nemutanzhela *et al.*, 2014). Ahmed et al. (2014) conducted a study in which a *B.*
552 *amyloliquefaciens* probiotic was able to reduce ammonia in the GIT, with the correlation of the
553 reduction directly proportional to the probiotic concentration. Although not clear on the exact
554 mechanism of ammonia reduction, various studies demonstrated a significant decrease in
555 ammonia emissions from the faecal matter of broilers that were fed a *B. subtilis* preparation
556 (Jeong and Kim 2014, Tanaka and Santoso 2000, Zhang *et al.*, 2013).

557

558 Aflatoxins are potent mycotoxins produced by *Aspergillus spp.* and are a common problem in
559 poultry feed (Fan *et al.*, 2015). The continuous intake of these compounds leads to detrimental
560 effects on the liver of broilers. Studies on the role of probiotics in aflatoxin reduction are limited
561 but Fan *et al.*, (2015) demonstrated that the supplementation of *B. subtilis* ANSB060 reduced
562 aflatoxin levels in the duodenum of broilers and prevented aflatoxicosis. Another study
563 introduced the concept of screening specially for aflatoxin removal when developing novel
564 Bacillus based probiotics and showed it's *in vivo* efficacy in Japanese quails (Bagherzadeh
565 Kasmani *et al.*, 2012).

566

567 **Auxiliary advantages of *Bacillus* probiotics**

568 Beyond extensive probiotic effects, *Bacillus spp.* also have auxiliary advantages regarding
569 waste treatment in the poultry industry. The intensive nature of poultry production has raised
570 environmental concerns and producers are under intense pressure to meet regulations (Rodić
571 *et al.*, 2011). The major wastes emanating from the poultry industry comprise of manure,
572 feathers, carcasses, effluents and ammonia emissions (Glatz *et al.*, 2011). With its high levels
573 of nitrogen and phosphorous (Malomo *et al.*, 2018), the impact of indiscriminate disposal of

574 poultry manure and waste water (Damalas and Koutroubas 2016, Gbotosho and Burt 2013)
575 contributes to phosphorus and nitrogen load, which ultimately ends up in natural habitats.
576 Ammonia emissions are one of the most pressing environmental concerns especially with high
577 stocking densities. Although, more prevalent in laying hens due to the age and rearing time, it
578 however, still poses a challenge and is a major concern for the broiler industry (Ritz *et al.*,
579 2004). The bedding used in broiler production is often re-used for cost effective rearing
580 resulting in accumulation of ammonia, prolonged exposure to ammonia concentrations can lead
581 to a decrease in feed efficiency, increased susceptibility to disease, loss of cilia in the lungs,
582 and eye damage. Furthermore, it also poses a health hazard for farm workers. Historically,
583 feathers were used in poultry feed, however stricter regulation and consumer resistance is
584 prompting the need for alternate solutions (Forgács *et al.*, 2011).

585

586 *Bacillus spp.* are well known for removing nitrogen and phosphorus from environmental wastes
587 (DebRoy *et al.*, 2013, Kim *et al.*, 2005, Yang *et al.*, 2011) and have been extensively applied
588 in the bioremediation of waste water (Iriye and Takatsuka 1999, Yang *et al.*, 2017). When
589 *Bacillus* based probiotics are used, they can further contribute to the treatment of wastes
590 downstream of the poultry production.

591

592 The industry has already adopted the use of *Bacillus spp.* to reduce the concentration of
593 ammonia in faecal matter and subsequently alleviate ammonia emissions (Park *et al.*, 2016).
594 Furthermore Santoso *et al.*, (1999) showed a reduction in ammonia gas emissions in laying
595 hens fed *B. subtilis*. A study by Stough, (2013) demonstrated the *in vitro* degradation of
596 ammonia by *B. subtilis*, however could not prove its efficacy in used litter *in vivo*. Another
597 study by Chiang and Hsieh (1995) showed the reduction in ammonia in litter, using a
598 consortium of *Streptococcus*, *Lactobacillus* and *Bacillus spp.* This area has not been adequately

599 researched and the nitrification and denitrification ability of these heterotrophs can be of great
600 environmental benefit in ammonia degradation (Kim *et al.*, 2005).

601

602 Biological treatment of poultry waste mostly entails anaerobic digestion, however, feathers,
603 which consist mainly of keratin degrades poorly under anaerobic conditions (Salminen and
604 Rintala 2002). Kim *et al.*, (2001) demonstrated the use of three strains of *Bacillus spp.* (*B.*
605 *subtilis*, *B. pumilis* and *B. cereus*) to effectively degrade feathers by high keratinolytic activity
606 attributable to production of keratinase.

607

608 *Bacillus* based probiotics are elegant in that they provide a multiple effect of directly improving
609 poultry production efficiency, improving the rearing environment and the safety of the resultant
610 wastes. This dynamic although not yet well explored application by the industry, could be of
611 significant importance in selecting *Bacillus* based probiotics over other species.

612

613 **The development of *Bacillus* based probiotic**

614 The development of chicken feed probiotics requires a methodological and systematic
615 approach. This includes the targeted isolation of microorganisms, followed by screening
616 according to a set of predefined criteria that are associated with commercially relevant desirable
617 characteristics. The use of *in vivo* studies to select putative probiotics from large numbers of
618 isolates are expensive, time consuming and not easily achievable. Therefore it is critical to
619 perform extensive *in vitro* evaluation and selection processes, in order to reduce the number
620 isolates (Ehrmann *et al.*, 2002). The biosafety considerations must be evaluated for all
621 probiotics to be used in animals, while conforming to regulatory requirements of countries in
622 which the probiotics are to be used. The ultimate requirement in the development of probiotics,
623 is the validation of its efficacy *in vivo*.

624

625 **Isolation of *Bacillus spp.* as probiotics**

626 The environments that probiotic candidates are isolated from, is a critical consideration, as it
627 is preferable to isolate microorganisms from the host or environments associated with the host.
628 Host specific probiotics could be better evolved to elicit desirable probiotic effects, for
629 example, immunomodulation, as their metabolites will be compatible to the specific cytokines
630 produced by the host (Fuller 2001). Isolation from the host is however not mandatory as equally
631 functional probiotics have been isolated from other sources (Fontana *et al.*, 2013).
632 Conventional anaerobic probiotics need careful consideration of storage and samples need to
633 be processed quickly to avoid losses in viability. Due to their endospore-forming abilities,
634 Bacilli tolerate adverse conditions better than non-sporulating bacteria (Cutting 2011),
635 therefore samples can be stored and processed easily Easy protocols can be deployed for
636 purification of spore forming organisms whilst excluding other genera, such as heat, nutrient
637 depletion, dehydration and desiccation (Lalloo *et al.*, 2007). A rationale and proven approach
638 to obtaining pure cultures involves obtaining broiler related environmental samples such as
639 guts, faeces, bedding, feathers and if possible swabs from the chickens (Barbosa *et al.*, 2005,
640 Wolfenden *et al.*, 2010) and isolating and purifying *Bacillus spp.* from these samples. The
641 purification of *Bacillus spp.* requires a strategy to induce sporulation, for example using special
642 enrichment medium which induce vegetative cells to sporulate. This allows for the formation
643 of mature spores in large quantities (Földes *et al.*, 2000). Other procedures that can be applied
644 include elevated temperatures and exposure to ethanol to induce sporulation (Nemutanzhela *et*
645 *al.*, 2014). Simple sub-culturing procedures on nutrient agar are generally used to purify
646 individual *Bacillus* cultures and simple verification techniques include microbial procedures
647 such as microscopic morphology, gram stain, catalase reaction and other metabolic tests
648 (Földes *et al.*, 2000, Nemutanzhela *et al.*, 2014).

649

650 **Ensuring survival under GIT conditions to eliminate unsuitable candidate probiotics**

651 All poultry probiotics must be able to survive the harsh conditions of the chicken GIT, which
652 include the highly acidic environment found within the proventriculus, toxic bile
653 concentrations produced by the small intestine, the fluctuating pH of the GIT and the digestive
654 enzymes (pepsin and trypsin). The ability to survive these conditions are obligatory for any
655 putative probiotic to elicit its effect and must be established in the initial stages of development.
656 The spores of *Bacillus spp.* are mostly resistant to the acidic conditions, mechanical shear,
657 hydrolysing enzymes and bile that are present in the chicken GIT (Cartman *et al.*, 2008). A
658 study that screened for human *Bacillus* probiotics, revealed that 80% of isolates survived the
659 acidic conditions of the GIT (Nithya and Halami 2013). Chaiyawan *et al.*, (2015) reported a
660 100% survivability of *Bacillus* isolates obtained from broilers when subjected to simulated
661 gastric juice and similarly, Lee *et al.*, (2012) showed that isolates were highly tolerant to acidic
662 conditions and the presence of bile. The ability of a probiotic to survive the conditions of the
663 GIT are extremely strain dependant, with some strains surviving, whereas others within the
664 same species, do not. However, the survivability of *Bacillus spp.* seems to be much higher than
665 their non-spore forming equivalents under GIT conditions. The use of the elimination stage in
666 the rationale for development of probiotics is important as it eliminates large numbers of
667 unwanted strains that would not be functional as probiotics.

668

669 **The selection of putative probiotics against industry relevant criteria**

670 With regards to selecting *Bacillus* isolates for use as poultry probiotics, a specific rationale
671 needs to be implemented. The growth and proliferation under the harsh conditions of the GIT
672 is the first selection criteria to ensure the presence and activity of the probiotic in large numbers
673 in the GIT. The functional aspects also need to be evaluated using appropriate *in vitro* screening

674 techniques (Harimurti and Hadisaputro 2015). It is important that the tests used for screening
675 be simple, rapid, and comprehensive to select the best strains from a large group of candidates
676 which show the highest levels of probiotic efficacy to the mechanisms of action relevant to
677 poultry production. (Taheri *et al.*, 2009). The two most desirable modes of action from an
678 industrial standpoint is the competitive exclusion of poultry pathogens and the improvement
679 of digestion and absorption of feed.

680

681 The mechanisms involved in competitive exclusion can be ascertained by many *in vitro*
682 screens. Generally, the colonisation potential of probiotic candidates can be determined by
683 auto-aggregation, cell surface hydrophobicity and adherence to epithelial cells assays. Auto-
684 aggregation is a quick method applicable to a large number of test strains, and it shows
685 clumping of strains due to high surface hydrophobicity thus inferring adhesion ability to the
686 gut mucus (Garriga *et al.*, 1998). Cell surface hydrophobicity measures the hydrophobic
687 properties of the outermost surface of probiotic cells, by determining the capacity of the
688 bacteria to attach to hydrocarbons (eg. hexadecane, xylene, and toluene) thus reflecting non-
689 specific cell adhesion to the hydrophobic epithelial region (Ehrmann *et al.*, 2002, Papadimitriou
690 *et al.*, 2015). *Bacillus* spores have been associated with high cell surface hydrophobicity
691 (Thwaite *et al.*, 2009). Other assays include the attachment to commercially available mucin,
692 which are large glycoproteins that strengthen the intestinal mucosal surfaces forming a
693 protective layer (Papadimitriou *et al.*, 2015). The adherence to epithelial cells by probiotics is
694 one of the most direct ways to determine their colonization capacity. Some studies employ the
695 use of type cell cultured epithelial cells or actual epithelial cells obtained from poultry, but both
696 these methods are costly and time consuming (Hmani *et al.*, 2017). An excellent alternative to
697 the use of chicken epithelial cells, is the use of human colon adenocarcinoma cell line (Caco-2
698 and HT-29) cells, which are readily available and easier to culture. These specific cell lines,

699 have been used to elucidate adherence activities of *Bacillus spp.* (Chaiyawan *et al.*, 2015,
700 Ozkan *et al.*, 2013). The ability to form biofilms by *Bacillus spp.* may also be screened for to
701 determine the success of persistence in the GIT (Barbosa *et al.*, 2005).

702

703 The mechanisms which enable competitive exclusion of pathogens can be elucidated by
704 various microbial methods, normally targeted against common poultry pathogens such as *E.*
705 *coli*, *Clostridium spp.*, *Salmonella spp.*, *Campylobacter spp.*, and *Listeria monocytogenes*
706 (Dhama *et al.*, 2013a). The use of co-culturing assays involves the evaluation of competitive
707 growth of the putative probiotic against the pathogen of interest in liquid culture or adhesions
708 studies on epithelial cells (Fijan 2016, Papadimitriou *et al.*, 2015). These approaches can be
709 costly and laborious and is not suitable for screening a large number of isolates against a large
710 battery of pathogens because it requires the counting of both the probiotic and pathogen.

711

712 A simpler method to determine antagonistic properties against pathogens involves the use of
713 microbial co-culture plates. These assays involve the co-culture of the probiotic strain and the
714 targeted pathogen on solid agar using different techniques (the cross-streak, the spot-on lawn
715 and well or disc diffusion) (Papadimitriou *et al.*, 2015). In these methods, antagonism by the
716 production of inhibitory compounds against pathogens are defined as a zone of clearing in the
717 solid agar thereby hindering or inhibiting its growth. The degree of clearing is directly
718 proportional to the antagonistic activity of the organism (pathogen or probiotic) (Fijan 2016).

719 With the use of the same methods the mechanism of spatial dominance can be elucidated where
720 there is dominance of probiotic growth over the pathogen as described by Cray *et al.* (2013).

721

722 In order test improvements in digestion and AME usage, the production of key digestive
723 enzymes such as amylase, protease, lipase, cellulase, xylanase and phytase must be evaluated.

724 Enzyme production is typically assessed using microbial plate assays incorporating the
725 substrate corresponding to the enzyme of interest. These assays typically give a qualitative or
726 semi-quantitative indication of relative enzyme production and enzyme activity between
727 putative probiotics, thus enabling the selection of organisms that have the best enzyme
728 production potential as well as the largest profile of different activities. These assays are quick,
729 easy and cost effective to perform and can handle many target organisms and enzymes of
730 interest.

731

732 Other modes of action such as immunomodulation are also of interest in selecting probiotics.
733 Whilst screening for potential immune properties has merit, it is laborious and costly and
734 should be done for probiotics required specifically for immunological benefits. *In vitro* assays
735 used for selection need to be specific for the type of immune response the probiotic is required
736 to achieve (cytokine production, macrophage activation, growth factors etc.). Common
737 methods include bioassays incorporating cell mediated systems with commercially available
738 cells and enzyme-linked immunosorbent assays (ELISA). ELISA measurement of cytokine
739 production is the ideal choice for most laboratories as they are simple to perform, need little
740 specialized equipment and are relatively inexpensive. However, the lack of readily available
741 commercial antibodies to avian cytokines limits these types of tests (Wigley and Kaiser 2003).
742 The use of cell bioassays using chicken spleen cells, closely mimics the *in vivo* model
743 (Papadimitriou *et al.*, 2015) and is a suitable alternative to the more costly chicken lymphocytes
744 (Koenen *et al.*, 2004). Although most accurate for determination of immunomodulatory
745 activity these methods are time consuming and technically difficult requiring cell culture
746 (Wigley and Kaiser 2003). Other molecular techniques include reverse transcriptase PCR (RT-
747 PCR) which allow cytokine production to be detected without the requirement for the protein,
748 just the cDNA. Furthermore, quantitative RT-PCR can allow for cytokines to be quantified in

749 chicken. This molecular method is ideal for screening this mode of action, as a large number
750 of isolates can be processed relatively quickly. There are continuous efforts in the development
751 of new *in vitro* screens for immunological properties of chicken probiotics (Koenen *et al.*,
752 2004).

753

754 The relevance of *in vitro* test to show immunomodulation is questionable because these tests
755 generally involve only one type of immune cell and ignores the complexity of the *in vivo*
756 communication between different cell types and the other microflora. Other issues with this
757 approach are that it does not differentiate between the innate and adaptive immune system.
758 There is therefore a preference to test this effect *in vivo*, because it indicates more accurately
759 the immune response to a particular challenge. A majority of studies used to determine immune
760 modulation by *Bacillus spp.* were done *in vivo* (Gadde *et al.*, 2017b, Lee *et al.*, 2015, Wang
761 2017) using already developed probiotics.

762

763 The cumulative response of a putative probiotic to each of the screening criteria is a holistic
764 indication of the suitability of each isolate to the predefined criteria of interest to the poultry
765 industry. An elegant approach is to score each response to each of the test criteria, which should
766 ideally be weighted in accordance with the importance of the criteria regarding the probiotic
767 effect. By statistically analysing the data, it is possible to rank candidate probiotics from best
768 to worse based on their significant differences in performance. By using this data, the best
769 candidates with multiple modes of action can be prioritised for selection.

770

771 **Biosafety considerations of *Bacillus spp.* and the associated regulations**

772 Once putative probiotics are prioritised, it is imperative to determine the biosafety, before final
773 selection. Proper identification of strains provides insight into the safety and techniques such

774 as biochemical API 50 CHB test kits and 16S rRNA sequence analyses are frequently used
775 (Fontana *et al.*, 2013). 16S rRNA sequencing is the preferred method as conserved regions of
776 the genome are compared to known sequences of species in databases (Fontana *et al.*, 2013).
777 This bioinformatics approach is more robust as it is based at the genotypic level compared to
778 other tests which are based at the phenotypical and biochemical levels. Once identified, the
779 taxonomy of the strains can aid in the assessment of its biosafety, using information such as
780 scientific literature, history of use and industrial and ecological applications (EFSA 2007).

781

782 There are causes for concern with regards to the use of *Bacillus spp.* specifically as probiotics
783 because some strains produce enterotoxins, and some are pathogenic. *B. anthracis*, *B.*
784 *thuringiensis*, and *B. cereus* are members of the *Bacillus cereus* group of bacteria, commonly
785 isolated when screening for probiotics (Hong *et al.*, 2005, Sanders *et al.*, 2003). *B. anthracis*
786 causes the acute fatal disease anthrax and is a potential biological weapon due to its high
787 toxicity (Helgason *et al.*, 2000). Because of the potential risk of these species, once identified
788 it is almost never applied for use in probiotic applications. *B. thuringiensis* produces
789 intracellular protein crystals toxic to a wide number of insect larvae and has been implicated in
790 gastroenteritis (Jensen *et al.*, 2002). Although many strains of *B. cereus* are ubiquitous and
791 excellent biological agents, some strains are opportunistic pathogens that commonly cause food
792 poisoning (Helgason *et al.*, 2000). However, if isolates belonging to the *B. cereus* group are
793 probiotic candidates, it is imperative that the strains are shown to be negative for the *B. cereus*
794 enterotoxin and the anthrax genes.

795

796 Another concern is that some *Bacillus* strains such as *B. clausii*, *B. cereus*, plasmids of *B.*
797 *subtilis* and *B. licheniformis* transfer antibiotic resistance genes within the GIT that cause
798 antibiotic resistant pathogenicity (Mingmongkolchai and Panbangred 2018). Although this has

799 no effect on antibiotic free chicken production, it is useful to check candidate probiotics for
800 susceptibility to commonly used antibiotics such as vancomycin, gentamicin, kanamycin,
801 streptomycin, erythromycin, clindamycin, tetracycline and chloramphenicol to ensure that they
802 do not contain the resistance genes (EFSA 2015).

803

804 At present, guidelines presented for animal probiotics are vague and limited, however, in some
805 countries it is customary that aspects such as identification, safety and the health effects are
806 provided for probiotic products (Hamilton-Miller *et al.*, 1999). The European Food and Safety
807 Authority (EFSA) is the only organisation currently that has regulations for the use of feed
808 probiotics which was critically reviewed by Anadon *et al.*,(2006). EFSA have embarked on
809 implementing a system referred to as the qualified presumption of safety (QPS), wherein,
810 biological material is critically assessed for their safety (Ricci *et al.*, 2017). This system uses a
811 rigorous literature screen to determine if a species qualifies to be on the QPS list. In terms of
812 *Bacillus spp.* over 2000 reports were analysed and 14 species were recognised as QPS. These
813 species include *B. amyloliquefaciens*, *B. atrophaeus*, *B. clausii*, *B. coagulans*, *B. flexus*, *B.*
814 *fusiformis*, *Paenibacillus lentus*, *B. licheniformis*, *B. megaterium*, *B. mojavensis*, *B. pumilus*,
815 *B. smithii*, *B. subtilis* and *B. vallismortis* (EFSA 2015). The USA allows for probiotics that are
816 GRAS to be commercialised, thus the probiotic species of choice remains *B. subtilis* and *B.*
817 *coagulans* (Cartman *et al.*, 2008).

818

819 **Verification of probiotic functionality**

820 Once putative probiotic strains have been deemed “safe” for use, their functionality must be
821 verified in order to finally select the required commercial strains. Generally, for a multi-mode
822 probiotic, a consortium of strains are preferred instead of an individual strain, because it allows
823 for a holistic probiotic effect and strains can compensate for the lack of effects from other

824 strains (Chapman *et al.*, 2011). If a consortium is to be used, then it is imperative to test the
825 population dynamics of the individual strains to ascertain if all strains selected can coexist.
826 Candidate strains that do not grow adequately or inhibit the growth of other strains within the
827 consortium should not be selected as a probiotic.

828

829 The survival and proliferation of the probiotic consortium should be verified using *in vitro*
830 simulated GIT models (Millette *et al.*, 2013), as it enhances the chances of success. Because
831 these studies are costly, it is generally avoided in the early stages of probiotic development,
832 due to the large number of isolates to be tested. It is however prudent to perform these tests on
833 the final consortium to verify germination, growth and survival of the *Bacillus* spores under
834 complete GIT conditions, as this gives a true indication of probiotic functionality. Vegetative
835 cells are reported to be very susceptible to gastric acid and bile salts, while spores are generally
836 resistant to both conditions (Barbosa *et al.*, 2005), therefore, studies in simulated gastric fluid
837 (SGF) and simulated intestinal fluid (SIF) are important in verifying the usefulness of a
838 probiotic consortium. (Mingmongkolchai and Panbangred 2018).

839

840 Even though a rational approach to probiotic development, results in the selection of the best
841 strains, functionality *in vitro* does not always correlate to the *in vivo* efficacy. Therefore, before
842 a probiotic can be commercialised, efficacy must be proven in controlled experimental field
843 trials, with specific effects such as health and productivity evaluated using commercially
844 relevant measures. The validation of selected probiotics *in vivo* following a rationale screening
845 process, has been the approach followed in several studies involving *Bacillus spp.* (Menconi *et*
846 *al.*, 2013, Nguyen *et al.*, 2015, Wolfenden *et al.*, 2010).

847

848 **The manufacturing of *Bacillus spp.* probiotics**

849 There is immense effort going into probiotic development for use in the poultry industry mainly
850 focused on screening and efficacy. However, a critical aspect of development and which is
851 often neglected is the production of selected probiotics at industrial scale. Commercially viable
852 strains must show attractive techno-economic properties in the production process (Lacroix
853 and Yildirim 2007). Some of the key consideration in ensuring a commercially acceptable
854 production process, includes storage of strains in validated master and working cell banks, an
855 inoculum train that delivers a proper quality and quantity of cells for the fermentation process,
856 a high performance fermentation processes, the efficient harvesting of the probiotic cells, and
857 the formulation into a stable product ready for easy incorporation into premixes or feeds. This
858 facilitates the commercial roll-out of probiotic products, which is largely dependent on the
859 efficiency and cost of the production process at industrial scale to deliver shelf stable product
860 in sufficient quantity (Amer and Utkhede 2000, Patel *et al.*, 2004).

861

862 **Fermentation and cell separation of *Bacillus* probiotics**

863 **Cell storage and inoculum train**

864 For the commercial production of probiotics, it is important to have a stable culture that is
865 appropriately preserved. *Bacillus spp.* can be stored in spore form with better stability and
866 viability in contrast to vegetative cells (Gao *et al.*, 2007, Monroy *et al.*, 2004). This ensures a
867 consistent starter culture, which impacts on the characteristics of the end product. Cell banks
868 must be validated in terms of stability, purity and cell concentration, preferably greater than 1
869 $\times 10^6$ CFU.mL⁻¹ to ensure a robust inoculum (Monroy *et al.*, 2004).

870

871 The inoculum train can have a substantial impact on process performance in terms of
872 productivity, profitability, and process control. It is understood that a well-characterized
873 inoculum train is essential for the bulking of the initial culture into a suitable inoculum for the

874 main production fermentation (Meyer *et al.*, 2016, Okonkowski *et al.*, 2005). *Bacillus spp.*
875 have been shown to scale well from the starter culture through to flask and pre-fermenter
876 inoculum stages, which is a key requirement to ensure that the main production fermentation
877 is efficient in terms of yield, productivity and cost, under high cell density cultivation (HCDC)
878 (Lalloo *et al.*, 2009, Monteiro *et al.*, 2014, Monteiro *et al.*, 2005).

879

880 **Fermentation**

881 Fermentation industries are focussed on HCDC to ensure economic feasibility. The poultry
882 industry functions on high volume low margin commodities, therefore the cost of in-feed
883 additives needs to be minimal. Furthermore, losses in viability downstream of the production
884 process, such as product formulation and feed blending, needs to be compensated upstream by
885 higher density fermentation. The production process of probiotics must be designed such that
886 the overall process has increased cell yields, productivities and a lowered cost, which ultimately
887 results in a feasible and economically attractive production process. The cultivation of *Bacillus*
888 *spp.* at large scale is influenced by various factors such as the composition of the media,
889 physical variables, and cell harvesting, each of which have to be developed to ensure a cost
890 effective production process (Nemutanzhela *et al.*, 2014).

891

892 The growth medium that is used to support high productivities in commercial bioprocesses is
893 predominantly formulated with inexpensive nutrient sources and is an essential aspect of
894 process development because it influences the economic competitiveness of the bioprocess
895 technology (Singh *et al.*, 2017). The growth medium used can be either defined or undefined ,
896 the latter usually applied in industrial processes, based on its lower cost (Prescott *et al.*, 2005).
897 Nutrient sources, specifically carbon and nitrogen, play a dominant role in the efficiency of the
898 production process, since they supply nutritional and growth factors that are directly linked

899 with the formation of viable cells (López *et al.*, 2003). Conventional probiotics often require
900 more expensive complex nutrients such as tryptones, peptones and yeast extracts, but the cost
901 at commercial scale can be prohibitive (Zhang and Greasham 1999).

902

903 *Bacillus spp.* have been shown to grow efficiently on lower cost, locally available waste
904 substrates (Lalloo *et al.*, 2009, Singh *et al.*, 2017), but information specifically related to the
905 production of poultry probiotics is limited. *Bacillus spp.* have been shown to grow on several
906 agricultural and industrial wastes either as is or as hydrolysates, such as molasses, corn steep
907 liquor, soybean, or wheat (Chang *et al.*, 2008, Chen *et al.*, 2010, Lalloo *et al.*, 2009, Prabakaran
908 *et al.*, 2007). A study by Khardziani *et al.*, (2017) showed the growth of *B. amyloliquefaciens*
909 B-1895 in various lignocellulosic materials at concentration of 40 g.L⁻¹ yielded a cell
910 concentration of 1 × 10¹⁰ spores.ml⁻¹.

911

912 HCDC of *Bacillus spp.* is preferably done in fed-batch fermentation because the concentration
913 of the limiting substrate, can be maintained at a low level, thus avoiding the repressive effects
914 of high substrate concentration (Shiloach and Rinas 2010). In this way there is some control
915 over the organism's growth rate and oxygen demand thus ensuring oxygen sufficiency
916 (Elisashvili *et al.*, 2019, Shiloach and Rinas 2010). The use controlled feeding of glucose
917 maximised vegetative cell growth to 1.3 × 10¹⁰ CFU.mL⁻¹, whilst avoiding premature
918 sporulation (Monteiro *et al.*, 2014). Using a similar glucose fed-batch procedure coupled with
919 the manipulation of carbon to nitrogen ratio, Panday (2016) was able to produce the probiotic
920 *B. coagulans* at a concentration 3.8 × 10¹¹ cells.mL⁻¹.

921

922 The efficient production of *Bacillus spp.* is often reflected in the quantity and quality of spores
923 harvested at the end of the fermentation. Therefore, cultivations should be optimized to achieve

924 high sporulation efficiencies. Different culture media for *Bacillus* sporulation have been
925 reported, where each particular strain had preferential requirements (Cho *et al.*, 2009, Flores *et*
926 *al.*, 1997, Posada-Uribe *et al.*, 2015). The pathway leading from a vegetative cell to a spore is
927 triggered by depletion of certain media components such as carbon, nitrogen, phosphate,
928 vitamins or essential macro and micronutrients and therefore the media must have a balance of
929 cheaper basic and supplemented components to ensure optimal vegetative cell growth and
930 sporulation. (Posada-Uribe *et al.*, 2015, Sonenshein 2000). For example, Monteiro *et al.*,
931 (2005) observed that an increase in glucose concentration up to 5 g.L⁻¹ led to an increase in the
932 vegetative cell and spore concentration of *B. subtilis*, while higher sugar concentrations
933 inhibited sporulation, showing preference towards fed batch fermentation. Monteiro *et al.*,
934 (2014) further showed increases in spore concentration by supplementation with ammonium
935 sulphate, ammonium hydrogen phosphate and calcium.

936

937 Physical parameters such as temperature, pH, agitation and aeration have a critical impact on
938 successful spore production (Xiang *et al.*, 2013). These parameters influence the performance,
939 reproducibility and consistency of production process (Tavares *et al.*, 2013). Bacilli being
940 ubiquitous in nature, are able to grow under various conditions, but the physical parameters
941 must be optimised for the strain being produced, to maximise growth and sporulation (Posada-
942 Uribe *et al.*, 2015). Several studies have evaluated the effect of different physical parameters
943 such as temperature (20–30°C), pH (5.0–9.0) aeration rates (0.5–2.0 vvm) and agitation rates
944 (200–500 rpm), in order to enhance spore production of *Bacillus spp.* concluding that optimum
945 culture conditions are very specific for each strain (Chen *et al.*, 2010, Posada-Uribe *et al.*, 2015,
946 Tzeng *et al.*, 2008).

947

948 Most microorganism in the vegetative state are temperature sensitive, which also applies to
949 Bacilli with regards to growth and replication, subsequently effecting production efficiency. A
950 study by Laloo *et al.*, (2008) showed the effect of temperature on growth rate, cell
951 concentration and spore germination of *B. cereus* NRRL 100132. In their study it was showed
952 that low temperatures significantly lower growth and germination and that *B. cereus* has an
953 optimum temperature between 25-30 °C. Their study also showed that there is no significant
954 effect of pH ranging from 6-9 on growth rate of *B. cereus* which is in accordance with Monteiro
955 *et al.*, (2005), who reported that the sporulation efficiency for *B. subtilis* was found to be
956 independent of the pH values within the range of 6.9-9.0. Contrastingly Posada-Urbe (2015)
957 reported that a pH variation within 5.5-7.0 affected cell concertation and sporulation efficiency.
958 In a review by Elisashvili *et al.*, (2019) it was postulated that neutral pH favours Bacillus
959 growth while the medium acidification suppresses growth, and decreases sporulation
960 efficiency, whilst an alkaline pH promotes sporogenesis.

961

962 Agitation, aeration and pressure primarily influence mixing and mass transfer, which affects
963 spore production of different *Bacillus spp.* (Feng *et al.*, 2003). Posada-Urbe *et al.*,(2015)
964 concluded that spore concentration was increased by increasing agitation and aeration, wherein
965 the 9.33×10^9 spores.mL⁻¹ was achieved at 400 rpm and 12 SLPM respectively, whilst
966 sporulation efficiency was not affected. This is in accordance with other reports where an
967 increase on agitation and aeration generated higher biomass in different *Bacillus spp.* (Feng *et*
968 *al.*, 2003, Yeh *et al.*, 2006). This is indicative that sporulation is highly related to oxygen supply
969 and that non-limited oxygen conditions during the growth phase are important to realise high
970 spore yields (Flores *et al.*, 1997, Nemutanzhela *et al.*, 2014). Monteiro *et al.* (2005) achieved a
971 high *B. subtilis* spore concentration of 3.5×10^9 spore.ml⁻¹, when dissolved oxygen
972 concentration was maintained above 30% saturation.

973

974 The speed of production (cell growth and spore formation) should be maximised to minimise,
975 labour, utility and capital utilization costs. *Bacillus spp.* have been shown to replicate rapidly,
976 which maximises productivity, one of the key indicators of process efficiency. Chen *et al.*,
977 (2010) showed a maximum spore concentration of 1.56×10^{10} CFU.mL⁻¹ within 40 hours,
978 whilst Panday (2016) showed an even greater spore concentration of 1.9×10^{11} spores.mL⁻¹
979 after 32 hours, the latter study showing a higher productivity.

980

981 The intrinsic substrate utilization efficiency of a specific strain influences the process
982 efficiency because a high cell concentration coupled with lower substrate consumption
983 indicates a better yield of spores for the quantity of raw material used, thus reducing the cost
984 of production. In general, the carbon to nitrogen ratio can be manipulated in order to achieve
985 maximum substrate utilization, and *Bacillus spp.* have been shown to have excellent yields and
986 substrate utilization in studies conducted by Lalloo *et al.*, (2010b), Monteiro *et al.*, (2005) and
987 Panday (2016).

988

989 The key challenge in spore production is to maximize sporulation from a high-density
990 vegetative cell culture therefore the sporulation efficiency is critical for *Bacillus* production.
991 The development of spores from active cells is a result of pathway changes, which involves the
992 phosphorylation of the Spo0A transcriptional factor, which is predominantly induced by the
993 depletion of carbon, nitrogen or essential micronutrients (Fujita and Losick 2005, Tan and
994 Ramamurthi 2014). Sporulation efficiencies over 90% have been reported for *B. cereus* and *B.*
995 *subtilis* strains (Lalloo *et al.*, 2009, Posada-Urbe *et al.*, 2015). Furthermore *Bacillus spp.* have
996 been proven to yield high spore densities between 1×10^9 and 1×10^{10} cells.ml⁻¹ (Chen *et al.*,
997 2010, Khardziani *et al.*, 2017, Lalloo *et al.*, 2009, Monteiro *et al.*, 2005). Panday (2016)

998 reported the highest spore concentration of 1.9×10^{11} spores.mL⁻¹. The studies on high density
999 cultivation of Bacillus spores, although not directly poultry probiotic related, shows great
1000 promise for commercialization in the poultry industry. The main technological advantages of
1001 this genus as poultry probiotics is illustrated in Figure 2.

1002

1003 **Cell harvesting**

1004 The efficient harvesting and purification of spores from the resultant fermentation broth
1005 contributes to the overall commercial attractiveness of the process. Bacillus spores are more
1006 robust than vegetative cells against damage from harsh process conditions such as pressure and
1007 mechanical shear, typical in cell harvesting processes. A good harvesting technique should
1008 have a minimal number of unit operations to reduce the overall process and validation costs
1009 (Brar *et al.*, 2006). Cell harvesting process options such as flocculation and ultrafiltration are
1010 costly, therefore the most widely used process remains centrifugation because of its simplicity,
1011 low cost, consistency and it has been shown to result in recoveries of viable spores exceeding
1012 90% (Laloo *et al.*, 2010a, Villafaña-Rojas *et al.*, 1996). An added advantage is that the
1013 centrifugation process can be continuous, resulting in improved process through-put, while
1014 maintaining high cell recoveries (Laloo *et al.*, 2010a, Zamola *et al.*, 1981).

1015

1016 Mature spores that are harvested, need to be stabilized to maintain long term viability and to
1017 prevent the cells reverting to the vegetative state, which could result in product intermediate
1018 spoilage. It is therefore imperative to develop the stabilization process such that it results in a
1019 useable spore suspension for later end product formulation (Brar *et al.*, 2006, Schisler *et al.*,
1020 2004). Stabilizing spores involves the use of buffers, preservatives and the manipulation of pH
1021 but this strategy must take into consideration cost and further downstream impacts such as the
1022 safety and suitability of the ingredients.

1023

1024 **Product formulation considerations for *Bacillus* probiotics**

1025 The formulation of the final probiotic product is a key consideration that enables the
1026 commercial adoption of the technology (Brar *et al.*, 2006, Prabakaran *et al.*, 2007). The
1027 probiotic product should satisfy certain requisites such as deliver adequate number of viable
1028 microorganisms to the target host, have a sufficiently long shelf life, allow for the ease of
1029 application and provision of a product form that commands customer appeal (Moodley *et al.*,
1030 2014). Poultry probiotic products are generally formulated as either a powder or liquid. The
1031 liquid form is often administered in the potable water fed to chickens; however, special supply
1032 chain limitations needs to be considered for this product format. For instance, liquid products
1033 require large storage areas and higher costs of shipment. Other factors include refrigeration or
1034 freezing of liquid products, in order to maintain stability and viability which is costly (Lacroix
1035 and Yildirim 2007). Thus, powdered products are preferred and commonly utilized by the
1036 poultry industry as it is cost effective, alleviates the storage limitations and offers ease of
1037 handling and administration. Furthermore, dry forms of probiotics have a longer shelf life and
1038 better tolerance to the gastric environment (Markowiak and Ślizewska 2018). In contrast to
1039 human probiotics, the poultry industry cannot absorb the high cost of encapsulation, therefore,
1040 spray-drying, and bulk drying techniques to form probiotic powders are preferred (Moënne-
1041 Loccoz *et al.*, 2001, Wiwattanapatapee *et al.*, 2004).

1042

1043 Due to the spore-forming nature of *Bacillus* organisms, they do not require specialized
1044 techniques to obtain viable spores in either liquid or powdered forms. The spores can be
1045 blended with specific carriers and are resistant to high sheer powder blending. Transforming
1046 liquid product intermediates of spore concentrates into a dried product requires drying at high
1047 temperature (~60 °C), but the viability of spores, generally remains unaffected. An advantage

1048 to powder products is that carriers, protection aids and nutrients that support the germination
1049 of the spores can be easily included into the product without negatively affecting shelf life
1050 (Brar *et al.*, 2006, Moënné-Loccoz *et al.*, 2001, Wiwattanapatapee *et al.*, 2004). For the poultry
1051 industry, common carriers include calcium carbonate and limestone which is incorporated with
1052 a sugar additive. A key consideration of powder manufacturing is that the spores must be evenly
1053 distributed so that the concentration is consistent in the feed, which ensures constant dosage. It
1054 has been stated, that, in order for any probiotic to be effective it should contain a minimum of
1055 10^6 CFU.g⁻¹ of viable microorganisms at the point of consumption (Ouweland and Salminen
1056 1998, Simon *et al.*, 2005). Therefore the production of dried probiotic powder concentrates
1057 should be formulated at the equivalent cell number to ensure that the minimum concentration
1058 and viability is maintained in the feed (Meng *et al.*, 2008). There are no formal guidelines as
1059 to what the final spore concentration should be, however, the majority of commercialized
1060 probiotic products are formulated to a concentration of $\sim 1 \times 10^9$ CFU.ml⁻¹ (Jeong and Kim
1061 2014, Kim *et al.*, 2017, Teo and Tan 2007), which ensures a balance of consistent dispersion,
1062 cost effective logistics, and easy dosage into premixes and feeds.

1063

1064 Storage conditions for probiotic-augmented feed are usually in warehouses at ambient
1065 temperature. Furthermore, these storage spaces are exposed to many environmental factors
1066 such as humidity, extreme heat and cold, which could affect the viability of probiotics
1067 (Markowiak and Śliżewska 2018). It is generally accepted that the water activity should be
1068 below 0.25 and thus moisture content below 5% in order to ensure stability and prevent cross
1069 contamination (Chávez and Ledebøer 2007). Dried products must be stored in conditions that
1070 allow for the protection from heat, light and moisture. Furthermore proper packaging material
1071 must be selected accordingly (Chávez and Ledebøer 2007). There is very little literature
1072 available on the product formulation for *Bacillus* probiotics as this information is generally

1073 propriety to industry. Some studies on *Bacillus spp.* have demonstrated improved dry product
1074 shelf life of up to 5 years (Lalloo *et al.*, 2010b, Sorokulova *et al.*, 2008, Yadav *et al.*, 2009).

1075

1076 **Incorporation of *Bacillus* probiotics during feed manufacturing**

1077 The probiotic product is generally incorporated during the feed manufacturing process either
1078 directly or through prior inclusion into the feed pre-mix (Simon *et al.*, 2005). Commercial
1079 chicken feed is a dry-solid product in mash, crumble or pelleted form. The feed industry
1080 requires a convenient product form that must be easily incorporated into existing
1081 manufacturing processes.

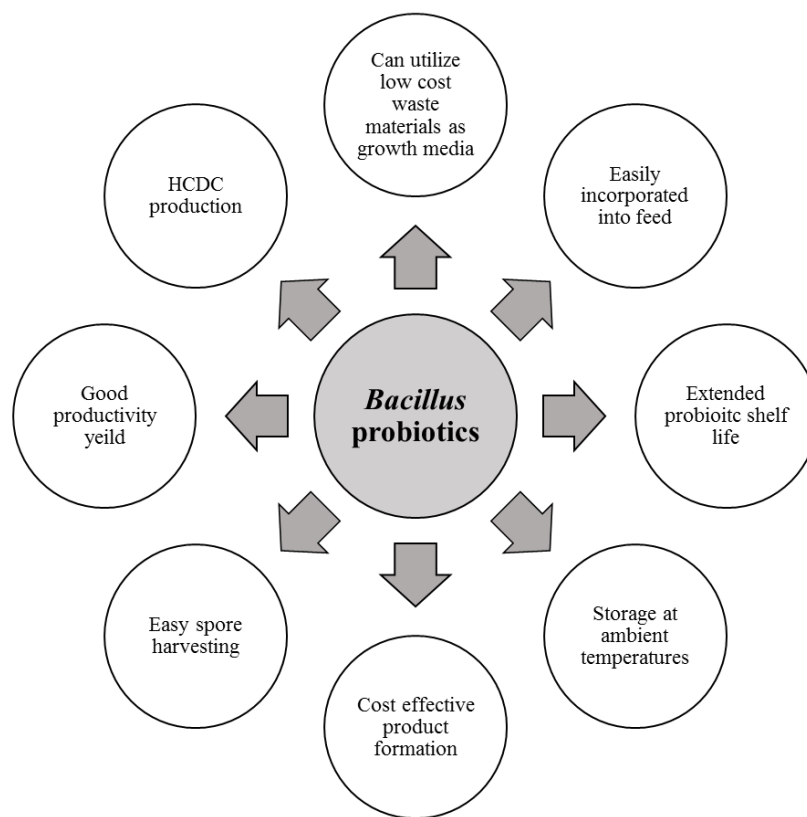
1082

1083 Feed manufacturing involves several mechanically intense processes such as pelleting,
1084 extrusion and other complementary processes that require high temperatures and pressures
1085 which may affect the viability of probiotics (Kosin and Rakshit 2006). Typical feed for broiler
1086 chickens is processed at about 75–85 °C for 15–20 s with a moisture content of 15 % before
1087 pelleting (Kosin and Rakshit 2006). The manufacturing process of poultry feed generally starts
1088 with the blending of the dry ingredients to produce a mash, which is where probiotics are
1089 usually added. The mash-feed is subjected to extrusion and pelletizing. These processes involve
1090 heating of the mash and forcing it through a circular die at pressure to form an extrudate of a
1091 specific diameter, which is then formed into pellets. Production of crumble feed, typically used
1092 during the pre-starter and starter phases of poultry rearing, requires an additional pellet-
1093 grinding step usually done by large rollers that could damage the viability of probiotics.

1094

1095 The thermostability of *Bacillus* spores in the feed manufacturing process is a major advantage
1096 over vegetative cells as they can survive temperature exposures up to 113°C for 8 minutes
1097 (Vasquez 2016). Additionally, *Bacillus* spores are mechanically stronger than vegetative cells,

1098 allowing them to withstand the high pressures and the mechanical sheer associated with
1099 mixing, extrusion, pelletizing and crushing. Studies regarding the stability of probiotics in
1100 poultry feed are limited, but it was shown that the recovery of *B. cereus var toyoi* after pelleting
1101 at 87°C was 95 % and after 8 weeks in feed storage was 92 % (Simon *et al.*, 2005). In an *in*
1102 *vitro* screening study, Chaiyawan *et al.*, (2015) proved that spores were able to survive wet
1103 heat at 80 °C regardless of contact time. Studies have also shown that Bacillus spores can be
1104 stable in dry products exceeding 2 years (Laloo *et al.*, 2010a).



1105

1106 **Figure 2:** Commercial advantages of *Bacillus spp.* as poultry probiotic products

1107

1108 **Future perspectives**

1109 *Bacillus spp.* are the future of in feed probiotics. The greatest advantages are in the general
1110 ease of isolating suitable candidates, screening for industry relevant desirable characteristics,
1111 process development, production of spores and cell harvesting. The simplicity in product
1112 formulation and their hardy nature makes them ideally amenable to inclusion in poultry feed

1113 within current feed manufacturing processes. The shelf life of the probiotic in spore form is
1114 also significantly better than conventional probiotics in the vegetative form, under industry
1115 relevant storage conditions. Due to future growth in demand for more natural production of
1116 poultry, alternatives to AGP's will continue to be an area of interest, but the costs and
1117 limitations of conventional probiotics remain a challenge to industry. As studies on *Bacillus*
1118 *spp.* increase, there appears to be greater proof of the suitability of this genus as a poultry
1119 probiotic. However, more research is required in areas such as strain dependant mechanisms
1120 of action, multiple mode probiotic development, consortium studies, individual manufacturing
1121 processes, product formulation, stability studies and efficacy studies at commercial scale.

1122

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1126

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